

# 06B OpenID Connect 1.0 Profile

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Trusted Digital Identity Framework  
Release 4.8 – Feb 2023

PUBLISHED VERSION



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*TDIF* requirements and references to *Applicants* are to be read as also meaning *Accredited Providers*, and vice versa. The scope of *TDIF* requirements are to be read as applying to the identity system under *Accreditation* and not to the organisation's broader operating environment.

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## Document management

*Finance* has reviewed and endorsed this document for release.

### Change log

Document Version	Release version	Date	Author	Description of the changes
0.1		Feb 18	BF	Initial version for internal review
0.2		Feb 18	BF	Major revision, moved to new template. Added chapter on <i>Identity Exchange</i> .
0.25		Mar 18	BF, TM	Updated content to conform to template.
0.3		Mar 18	TM	Minor updates
0.4		Aug 18	TM	Updates from consultation with key stakeholders.
1.0		Aug 18		Release 3 Endorsed for release by the <i>DTA</i>
1.1		Sep 18	TM	Content updates
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1.3		Dec 19	AV	Update to <i>TDIF</i> release 4 style guide.
1.4		Mar 2020	AV	Updated to incorporate feedback provided during the third consultation round on <i>TDIF</i> Release 4
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NA	4.6	Feb 2022		No changes to document
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NA	4.8	Feb 2023		No changes to document

### Document review

All changes made to the *TDIF* are published in the *TDIF* Change Log which is available at <https://www.digitalidentity.gov.au/privacy-and-security/trusted-digital-identity-framework>.

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# 1 Introduction

This document sets out the OpenID Connect 1.0 Profiles for the following interactions:

- Interactions between a *Relying Party* and an *Identity Exchange*.
- Interactions between an Identity Provider and an *Identity Exchange*.

The intended audience for this document includes:

- *Accredited Participants*.
- *Applicants*.
- *Assessors*.
- *Relying Parties*.

This document comprises part of the documents which an *Applicant* must be accredited under to onboard onto the federation. It is also the authoritative document for *Relying Parties* seeking to onboard onto the federation, providing the rules for the particular implementation of OpenID Connect 1.0 that is used within the Identity Federation. This profile sets out the requirements for what *Applicants* must do to be accredited. Where there are gaps in the Profile regarding how to implement OpenID Connect 1.0, *Applicants* should look to the OpenID Connect Core 1.0 specification [OpenIDCore] for guidance on how to implement the protocol.

This OpenID Connect 1.0 profile is consistent with the International Government Assurance Profile (iGov) for OpenID Connect 1.0 – Draft 02. Some features of iGov profile are not used. The differences between the *TDIF: OpenID Connect 1.0 Profile* and iGov Profile are noted in Appendix B iGov Profile .

## 2 Relying Party to Identity Exchange Profile

This section describes the OpenID Connect 1.0 Profile for the use between *Relying Parties* and an *Identity Exchange*, acting as an OpenID Provider (*OP*), and operating as part of the TDIF.

In this section there are requirements which a client must satisfy. An Attribute Service Provider acts as a *Relying Party* while making an authentication request, and as such needs to meet the requirements specified here. A *Relying Party* looking to onboard onto the federation should use this section as guidance for developing their technical implementation of the OpenID Connect 1.0 Federation Protocol. Note: an OpenID Provider (*OP*) is an OAuth 2.0 Authorisation Server (*AS*) that is capable of authenticating the End User and providing claims to a *Relying Party* (*RP*) about the authentication event and the End User. Any use of the terms OpenID Provider (*OP*) or Authorisation Server (*AS*) within this profile can be considered congruous.

### 2.1 Client Types

The following client type descriptions give patterns for deployment for use in different types of client applications based on the OAuth grant type.

**TDIF Req:** OIDC-02-01-01; **Updated:** Mar-20; **Applicability:** X

The resource owner password credentials grant type defined in [RFC 6749] is intentionally omitted and the *Applicant* **MUST NOT** use this grant type under these profiles.

These client types are as described in the iGov OAuth 2.0 profiles.

#### 2.1.1 Full Client with User Delegation

This client type applies to clients that act on behalf of a particular resource owner and require delegation of that user's authority to access the protected resource.

Furthermore, these clients are capable of interacting with a separate web browser application to facilitate the resource owner's interaction with the authentication endpoint of the authorisation server.



**TDIF Req:** OIDC-02-01-02; **Updated:** Mar-20; **Applicability:** X

These clients MUST use the authorisation code flow of OAuth 2.0 by sending the resource owner to the authorisation endpoint to obtain authorisation.

**TDIF Req:** OIDC-02-01-03; **Updated:** Mar-20; **Applicability:** X

The *Applicant* MUST ensure that the user authenticates to the authorisation endpoint.

The user's web browser is then redirected back to a URI hosted by the client service, from which the client can obtain an authorisation code passed as a query parameter.

The client then presents that authorisation code along with its own credentials (`private_key_jwt`) to the authorisation server's token endpoint to obtain an access token.

**TDIF Req:** OIDC-02-01-04; **Updated:** Mar-20; **Applicability:** X

The *Applicant* MUST associate these clients with a unique public key as described in section 2.4 of this document.

**TDIF Req:** OIDC-02-01-05; **Updated:** Mar-20; **Applicability:** X

The *Applicant* MAY issue a refresh token to this type of client if they are satisfied that there are no security issues precluding them from doing so.

## 2.1.2 Native Client with User Delegation

This client type applies to clients that act on behalf of a particular resource, such as an application on a mobile platform, and require delegation of that user's authority to the protected resource. Furthermore, these clients are capable of interacting with a separate web browser application to facilitate the resource owner's interaction with the authentication endpoint of the authorisation server. In particular this client type runs natively on the resource owner's device, often leading to many identical instances of a piece of software operating in different environments and running simultaneously for different end users.

**TDIF Req:** OIDC-02-01-06; **Updated:** Mar-20; **Applicability:** X

These clients MUST use the authorization code flow of OAuth 2.0 by sending the resource owner to the authorisation endpoint to obtain authorisation.

**TDIF Req:** OIDC-02-01-07; **Updated:** Mar-20; **Applicability:** X

The user MUST authenticate to the authorisation endpoint. The user's web browser is then redirected back to a URI hosted by the client, from which the client can obtain an

authorisation code passed as a query parameter. The client then presents that authorisation code along to the authorisation servers token endpoint to obtain an access token.

**TDIF Req:** OIDC-02-01-08; **Updated:** Mar-20; **Applicability:** X

Native clients MUST either:

- Use dynamic client registration to obtain a separate client id for each instance.
- Use a common client id and use PKCE to protect calls to the token endpoint.

**TDIF Req:** OIDC-02-01-09; **Updated:** Mar-20; **Applicability:** X

Native applications using dynamic registration MAY generate a unique public and private key pair on the device and register that public key value with the authorisation server. Alternatively, an authorisation server MAY issue a public and private key pair to the client as part of the registration process.

**TDIF Req:** OIDC-02-01-10; **Updated:** Mar-20; **Applicability:** X

If the authorisation server issues a public and private key pair to the client as part of the registration process, the authorisation server MUST discard its copy of the private key.

**TDIF Req:** OIDC-02-01-11; **Updated:** Mar-20; **Applicability:** X

Client credentials MUST NOT be shared among instances of client software.

**TDIF Req:** OIDC-02-01-12; **Updated:** Mar-20; **Applicability:** X

Native Applications not registering a separate public key for each instance MUST use PKCE with the S256 code challenge mechanism.

**TDIF Req:** OIDC-02-01-13; **Updated:** Mar-20; **Applicability:** X

Dynamically registered native applications MAY use PKCE.

**TDIF Req:** OIDC-02-01-14; **Updated:** Mar-20; **Applicability:** X

Native applications not registering a separate public key for each instance are considered Public Clients and MUST use PKCE with the S256 code challenge mechanism. Public Clients do not authenticate with the Token Endpoint in any other way.

**TDIF Req:** OIDC-02-01-15; **Updated:** Mar-20; **Applicability:** X

The *Applicant* MAY issue a refresh token to this type of client if they are satisfied that there are no security issues precluding them from doing so.

## 2.2 Client Registration

**TDIF Req:** OIDC-02-02-01; **Updated:** Mar-20; **Applicability:** X

All clients MUST register with the authorisation server. For client software that may be installed on multiple client instances, each client instance MUST receive a unique client identifier from the authorisation server.

**TDIF Req:** OIDC-02-02-02; **Updated:** Mar-20; **Applicability:** X

Client registration MAY be performed by either static configuration or dynamically.

To register a client statically the Client will need to provide the information required by the *Identity Exchange*. As a minimum the following will be required for every client for static configuration:

- `client_id`.
  - the `client_id` is generated by the *Identity Exchange* as the *OP* and is used to identify the client in requests.
- `redirect_uri`.
  - as described below in section 2.3.
- Grant type.
  - as described below in section 2.5.
- Client keys.
  - as described below in section 2.4.

Clients are also able to register dynamically.

**TDIF Req:** OIDC-02-02-03; **Updated:** Mar-20; **Applicability:** X

The *Applicant* MUST require that a client seeking to register dynamically provides an initial access token.

**TDIF Req:** OIDC-02-02-04; **Updated:** Mar-20; **Applicability:** X

If the *Applicant* supports dynamic registration of clients, the *Applicant* MUST be able to accept the access tokens described in OIDC-02-02-04 in the manner described in the OAuth 2.0 Bearer Token Usage [RFC6750] specification.

## 2.3 Redirect URI

**TDIF Req:** OIDC-02-03-01; **Updated:** Mar-20; **Applicability:** X

Clients using the authorisation code grant type MUST register their full redirect URIs.

**TDIF Req:** OIDC-02-03-02; **Updated:** Mar-20; **Applicability:** X

The authorisation server MUST validate the redirect URI given by the client at the authorisation endpoint using strict string comparison.

**TDIF Req:** OIDC-02-03-03; **Updated:** Mar-20; **Applicability:** X

The *Applicant* MUST ensure that the redirect URI used by a client is one of the following:

- Hosted on a website with TLS protection (HTTPS).
- Hosted on a local domain of the client (e.g. http://localhost/).
- Hosted on a client specific non-remote protocol URI scheme (e.g. myapp:// or au.gov.app://).

**TDIF Req:** OIDC-02-03-04; **Updated:** Mar-19; **Applicability:** X

If a client's redirect URI is either hosted on the local domain of the client or hosted on a client specific non-remote protocol URI scheme as per OIDC-02-03-03, then the *Applicant* MAY require that the client uses the Proof Key for Code Exchange (PKCE) extension to the authorization code flow.

**TDIF Req:** OIDC-02-03-05; **Updated:** Mar-20; **Applicability:** A

Clients MUST NOT have URIs in more than one category and should not have multiple redirect URIs on different domains.

**TDIF Req:** OIDC-02-03-06; **Updated:** Mar-20; **Applicability:** A

Clients **MUST NOT** forward values passed back to their redirect URIs to other arbitrary or user-provided URIs (i.e. no open redirects).

### 2.3.1 Native Client Applications

Native Mobile and Desktop applications have slightly different requirements to those of Web Applications as there may not be a browser available to perform redirections nor a specific *RP* to redirect to at the time of authentication. As such these applications are generally required to register the local domain or a client specific non-remote protocol URI scheme as the redirect URI.

**TDIF Req:** OIDC-02-03-07; **Updated:** Mar-20; **Applicability:** X

The *Applicant* **MAY** allow these schemes to continue to be used for existing applications.

**TDIF Req:** OIDC-02-03-08; **Updated:** Mar-20; **Applicability:** X

Where the native platform supports the newer App-Claimed HTTPS URL redirection capability (Android, and iOS 9 or greater) this method **MAY** be used. This method allows the registration of a HTTPS URL e.g. `https://app.gov.au/auth`. When that URL is accessed the platform will open the application (if not already open) and the required actions can be performed.

## 2.4 Client Keys

**TDIF Req:** OIDC-02-04-01; **Updated:** Mar-20; **Applicability:** X

Clients using the authorisation code grant type **MUST** have a public and private key pair type for use in authentication to the token endpoint.

**TDIF Req:** OIDC-02-04-02; **Updated:** Mar-20; **Applicability:** X

The client **MUST** register their public keys in their client registration metadata by either sending the public key directly in the `jwks` field or by registering a `jwks_uri` that **MUST** be reachable by the authorisation server. It is recommended that clients use a `jwks_uri` as it allows for easier key rotation.

**TDIF Req:** OIDC-02-04-03; **Updated:** Mar-20; **Applicability:** X

The `jwt` field or the content available from the `jwt_uri` of a client **MUST** contain a public key in JSON Web Key Set (JWK Set) format.

**TDIF Req:** OIDC-02-04-04; **Updated:** Mar-20; **Applicability:** X

The authorisation server **MUST** validate the content of the clients registered `jwt_uri` document and verify that it contains a JWK Set.

The example below is a 2048 bit RSA key.

```
{
  "keys": [
    {
      "alg": "RS256",
      "e": "AQAB",
      "n": "kAMYD62n_f2rUcR4awJX4uccDt0zcXRssq_mDch5-
ifcShx9aTtTVza23P
Tn3KaKrsBXwWcfioXR6zQn5eYdZQVGNBfOR4rxF5i7t3hfb4Wks50EK1gBYk2lO9NSrQ-
xG9QsUsAnN6RHksXqsdOqvnXjLexDfIJlgbccN9h6TBC66ZXv7PVh119gIYVifSU7liHk
Le0l0fw7jUI6rHLHf4d96_neR1HrNIK_xssr99Xpv1EM_ubxpktX0T925qej9fMEpzzQ5
HLmcNt1H2_VQ_Ww1JOLn9vRnH48FDj7Tx1IT74XdTZgTv31w_GRPAOfyxew_ZUmXhz5Z-
gTlQ",
      "kty": "RSA",
      "kid": "oauth-client"
    }
  ]
}
```

**TDIF Req:** OIDC-02-04-05; **Updated:** Mar-20; **Applicability:** X

Native Client applications **MAY** omit their key during registration if they are a public client using PKCE.

## 2.5 Grant Types

**TDIF Req:** OIDC-02-05-01; **Updated:** Mar-20; **Applicability:** X

The grant type of `authorization_code` **MUST** be supported. Authentication using the Authorisation Code flow is described in section 3.1 of [OpenIDCore].

## 2.6 Relying Party Profile

As noted previously, this section is both guidance for *Relying Parties* looking to onboard onto the federation and requirements that an *Attribute Service Provider* implementing this profile must follow.

## 2.6.1 Requests to the Authorization Endpoint (Authentication Request)

**TDIF Req:** OIDC-02-06-01; **Updated:** Mar-20; **Applicability:** A

Clients making a request to the authorisation endpoint MAY use an unpredictable value for the state parameter with at least 128 bits of entropy. This is recommended, but it is up to the *Relying Party* to decide what level of entropy is required in the state parameter.

**TDIF Req:** OIDC-02-06-02; **Updated:** Mar-20; **Applicability:** A

Clients MUST validate the value of the state parameter upon return to the redirect URI and MUST ensure that the state value is securely tied to the user's current session e.g. by relating the `state` value to a session identifier issued by the client software to the browser.

**TDIF Req:** OIDC-02-06-03; **Updated:** Mar-20; **Applicability:** A

Clients MUST include their full redirect URIs in the authorisation request.

**TDIF Req:** OIDC-02-06-04; **Updated:** Mar-20; **Applicability:** X

To prevent open redirection and other injection attacks, the *Applicant* MUST match the entire redirect URI using a direct string comparison against registered values and MUST reject requests with invalid or missing redirect URIs.

**TDIF Req:** OIDC-02-06-05; **Updated:** Mar-20; **Applicability:** A

The Authentication Request MUST contain the following REQUIRED parameters and MAY contain the following OPTIONAL parameter values:

- `client_id`.
  - REQUIRED. OAUTH 2.0 Client Identifier valid at the Authorisation Server.
- `response_type`.
  - REQUIRED. Must be set to `code`.
- `scope`.
  - REQUIRED. Indicates the attributes being requested. The `openid` scope ***MUST*** always be present. Other defined scopes are described in *TDIF: 6A Federation Onboarding Requirements*.
- `redirect_uri`.
  - REQUIRED. Indicates a valid endpoint where the client will receive the authentication response. The URI must match exactly one of the Redirection URIs preregistered at the *OP*. The redirection URI ***MAY*** use the https scheme.
- `state`.
  - REQUIRED. Un-guessable random string generated by the *RP* used to protect against CSRF attacks. Must contain a sufficient amount of entropy to avoid guessing and is returned to the *RP* in the authentication response.
- `prompt`.
  - OPTIONAL. A space delimited, case sensitive list of string values that specifies if the Authorisation Server prompts for the End User to re-authenticate or provide consent. Defined values are:
    - `none`: The Authorisation Server ***MUST NOT*** display any authentication or consent user interface pages. An error is returned if the end-user is not already authenticated or not already provided consent for the requested claims or does not fulfil any other conditions for processing the request.
    - `login`: The Authorisation Server ***MAY*** prompt the end user for re-authentication.
    - `consent`: The Authorisation Server ***MAY*** prompt the end-user for consent `before` returning information to the Client. Consent should be requested in accordance with the Attribute Sharing Policies defined in *TDIF: 06 Federation Onboarding Requirements*.



- `select_account`: The Authorisation Server *MAY* prompt the end-user to select a user account. This allows an end-user with multiple accounts at the authorisation server to select amongst the accounts they currently have a session for.
- `display`.
  - **OPTIONAL**: A string value that specifies how the Authorisation Server displays the authentication and consent interface pages to the end-user.
    - `page`: The Authorisation Server should the authentication and consent UI consistent with a full User Agent page view. This is the default where the display parameter is not specified.
    - `popup`: The Authorisation Server *MAY* display the authentication and consent UI consistent with a popup User Agent window. The popup User Agent window should be of an appropriate size for a login-focused dialog and should not obscure the entire window that it is popping up over.
    - `touch`: The Authorisation Server *MAY* display the authentication and consent UI consistent with a device that leverages a touch interface.
    - `wap`: The Authorization Server *MAY* display the authentication and consent UI consistent with a "feature phone" type display.
- `nonce`.
  - **REQUIRED**. Un-guessable random string generated by the client, used to protect against CSRF attacks. Must contain sufficient entropy to avoid guessing. Returned to the Client in the ID Token.
- `acr_values`.
  - **OPTIONAL**. A *Relying Party* may specify the required Level(s) of assurance here. For the method of requesting *ACR* see section 2.8.3 of this document. For the permissible values for an *ACR*, see section 4.2.1.3 of the *TDIF: 06A Federation Onboarding Guidance*.
- `code_challenge` and `code_challenge_method`.
  - **OPTIONAL**. These parameters are required to support the use of PKCE with the S256 code challenge mechanism. as described in section 2.1.2.
- `max_age`.
  - **OPTIONAL**. Maximum Authentication Age. Specifies the allowable elapsed time in seconds since the last time the End-User was actively authenticated

by the *OP*. If the elapsed time is greater than this value, the *OP* **MUST** attempt to actively re-authenticate the End-User.

- `ui_locales`.
  - OPTIONAL. End-User's preferred languages and scripts for the user interface, represented as a space-separated list of language tag values as specified in [RFC 5646], ordered by preference.
- `id_token_hint`.
  - OPTIONAL. ID Token previously issued by the Authorisation Server being passed as a hint about the End-User's current or past authenticated session with the Client. If the End-User identified by the ID Token is logged in or is logged in by the request, then the Authorization Server returns a positive response; otherwise, it **MAY** return an error, such as `login_required`.
- `login_hint`.
  - OPTIONAL. Hint to the Authorisation Server about the login identifier the End-User might use to log in (if necessary). This hint can be used by an *RP* if it first asks the End-User for their e-mail address (or other identifier) and then wants to pass that value as a hint to the discovered authorisation service.
- `user_flow`
  - OPTIONAL. A string value that indicates the desired user flow for the user. Defined values are:
    - `sign_in`: A *Relying Party* requests this flow when it expects the user to already have a digital identity and sign in at the Identity Provider.
    - `sign_up`: A *Relying Party* requests this flow when it expects the user to need to create a digital identity at an Identity Provider.
    - `mygov_link`: A *Relying Party* requests this when it expects a user to set up a linkage between their myGov account and their digital identity.

- claims
  - OPTIONAL. This parameter is used to request that specific Claims be returned. The value is a JSON object listing the requested Claims. This is made according to section 5.5 of [OpenIDCore].

A sample request may look like the following example:

```
https://idexchange.gov.au/oidc/authorization?
  response_type=code
  &client_id=827937609728-m2mvqffo9bsefh4di90saus4n0diar2h
  &scope=profile%20openid%20email
  &redirect_uri=https%3A%2F%2Frp.agency.gov.au%2Foidc%2FloginResponse
  &state=2ca3359dfbfd0
  &prompt=select_account
  &acr_values=urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl2
```

## 2.6.2 Requests to the Token Endpoint

Requests to the token endpoint require client authentication. The client authentication mechanism is a signed JWT and is defined in section 2.7.1.2.

**TDIF Req:** OIDC-02-06-06; **Updated:** Mar-20; **Applicability:** A

The JWT assertion used in client authentication *MUST* be signed by the client using the client's private key. See section 2.4 for the mechanisms a client can use to make its public key known to the authorization server.

**TDIF Req:** OIDC-02-06-07; **Updated:** Mar-20; **Applicability:** A

For clients that are required to use PKCE as described in section 2.1.2 and section 2.3, the following claims *MUST* be included in the request to the token endpoint.

- code\_verifier.
  - Code verifier generated by client to use PKCE with the S256 code challenge mechanism.

**TDIF Req:** OIDC-02-06-08; **Updated:** Mar-20; **Applicability:** A

The *Applicant* *MUST* include the following claims in the request to the token endpoint:

- grant\_type.
  - *MUST* be set to authorization\_code



### 2.6.3.1 ID Tokens

All clients must validate the signature of an ID Token before accepting it using the public key of the issuing server, published in JSON Web Key (JWK) format.

**TDIF Req:** OIDC-02-06-09; **Updated:** Mar-20; **Applicability:** X

ID Tokens MAY be encrypted using the appropriate key of the requesting client. Note that the issuing server is the *OP (Identity Exchange)*

**TDIF Req:** OIDC-02-06-10; **Updated:** Mar-20; **Applicability:** A

Clients MUST verify the following in received ID tokens:

- Iss.
  - The Issuer field is the URL of the expected issuer.
- Aud.
  - The audience field contains the client ID of the client.
- exp, iat, nbf.
  - The expiration, issued at and not before tokens are dates (integer number of seconds since 00:00:00Z 1<sup>st</sup> January 1970, i.e. Unix epoch) are within acceptable ranges.

### 2.6.4 Request to the UserInfo Endpoint

**TDIF Req:** OIDC-02-06-11; **Updated:** Mar-20; **Applicability:** A

The client MAY send a UserInfo Request using either a HTTP `GET` or HTTP `POST`.

**TDIF Req:** OIDC-02-06-12; **Updated:** Mar-20; **Applicability:** A

The *Applicant* MUST send the access token obtained from an OpenID Connect Authentication Request as a bearer token as per section 2 of OAuth Bearer Token Usage [RFC 6750].

It is recommended that the request use the HTTP `GET` method and the access token is sent using the `Authorization` header field.

The following is an example of a request to a UserInfo Endpoint.

```
GET /userinfo HTTP/1.1
Host: idexchange.gov.au
Authorization: Bearer SlAV32hkKG
```

## 2.6.5 Request Objects

**TDIF Req:** OIDC-02-06-13; **Updated:** Mar-20; **Applicability:** A

Clients MAY send requests to the Authorization Endpoint using the `request` parameter as defined in [OpenIDCore].

**TDIF Req:** OIDC-02-06-14; **Updated:** Mar-20; **Applicability:** A

Request objects MUST be signed by the clients registered key.

**TDIF Req:** OIDC-02-06-15; **Updated:** Mar-20; **Applicability:** A

Request objects MAY be encrypted to the Authorisation Server's public key.

## 2.6.6 Discovery

**TDIF Req:** OIDC-02-06-16; **Updated:** Mar-20; **Applicability:** A

Clients and protected resources MAY cache OpenID Provider (*OP*) metadata once an *OP* has been discovered and used by the Client.

## 2.7 *Identity Exchange* as an OIDC Identity Provider Profile

### 2.7.1 Connecting to Clients

#### *2.7.1.1 Grant Types*

The only supported grant type is `authorization_code`. This grant type is described in section 4.1 of [RFC 6749].

The Authorization Code Flow is the only authentication flow supported by this federation. The Authorization Code Flow returns an Authorization Code to the client that the client can then exchange for an ID Token and an Access Token. This provides the benefit of not exposing any tokens to the User Agent and potentially malicious applications with access to the User Agent.

**TDIF Req:** OIDC-02-07-01; **Updated:** Mar-20; **Applicability:** X

The authorisation server **MUST** validate all redirect URIs for the `authorization_code` grant type.

### *2.7.1.2 Client Authentication*

**TDIF Req:** OIDC-02-07-02; **Updated:** Mar-20; **Applicability:** X

The authorisation server **MUST** enforce client authentication to the authorization servers token endpoint using a JWT assertion as defined by using only the `private_key_jwt` method as described in [OpenIDCore]. Clients that have registered a public key sign a JWT using the associated private key. The Client authenticates in accordance with JSON Web Token (JWT) Profile for OAuth 2.0 Client Authentication and Authorization Grants and Assertion Framework for OAuth 2.0 Client Authentication and Authorization Grants.

**TDIF Req:** OIDC-02-07-03; **Updated:** Mar-20; **Applicability:** X

The JWT must expire and **MAY** have a lifetime no longer than five minutes. Short expiration times are recommended wherever practicable. The following guidance is provided in [RFC 7523] regarding expiration times: the JWT **MUST** contain an "exp" (expiration time) claim that limits the time window during which the JWT can be used.

**TDIF Req:** OIDC-02-07-04; **Updated:** Mar-20; **Applicability:** X

The authorization server **MUST** reject any JWT with an expiration time that has passed, subject to allowable clock skew between systems. Note that the authorization server may reject JWTs with an "exp" claim value that is unreasonably far in the future.

**TDIF Req:** OIDC-02-07-05; **Updated:** Mar-20; **Applicability:** X

The JWT **MUST** contain the following REQUIRED claims and **MAY** contain the following OPTIONAL Claim values:

- Iss.
  - REQUIRED. Issuer. This **MUST** contain the `client_id` of the client creating the token.
- Sub.
  - REQUIRED. Subject. This **MUST** contain the `client_id` of the client creating the token.
- Aud.

- REQUIRED. Audience. The value that identifies the Authorisation Server as an intended audience. The Authorisation Server ***MUST*** verify that it is an intended audience for the token. The Audience ***MAY*** be the URL of the Authorisation Server's Token Endpoint.
- Jti.
  - REQUIRED. JWT ID. A unique identifier for the token generated by the client, which can be used to prevent reuse of the token. This identifier ***MUST*** contain at least 128 bits of entropy and ***MUST NOT*** be re-used by any subsequent authentication token.
- Exp.
  - REQUIRED. Expiration time on or after which the ID Token ***MUST NOT*** be accepted for processing.
- Iat.
  - OPTIONAL. Time at which the JWT was issued.

The following is an example of the use of the required claims for a client authentication JWT as defined in this profile. Additional claims ***MAY*** be included in this set.

```
{
  "iss": "55f9f559-2496-49d4-b6c3-351a586b7484",
  "sub": "55f9f559-2496-49d4-b6c3-351a586b7484",
  "aud": "https://idexchange.gov.au/token",
  "iat": 1418698788,
  "exp": 1418698848,
  "jti": "1418698788/107c4da5194df463e52b56865c5af34e5595"
}
```

### 2.7.1.3 Dynamic Registration

**TDIF Req:** OIDC-02-07-06; **Updated:** Mar-20; **Applicability:** X

Dynamic registration of Clients ***MAY*** be supported by an *Identity Exchange*.

### 2.7.1.4 Discovery

The OpenID Connect discovery standard provides a standard pragmatic way for clients to obtain configuration details for communicating with *Identity Exchanges* and is an important part of building a scalable federation ecosystem.



Exposing a Discovery endpoint does not put the exchange at risk of attack. Endpoints and parameters specified in the Discovery document should be considered public information regardless of the existence of the discovery document.

**TDIF Req:** OIDC-02-07-07; **Updated:** Mar-20; **Applicability:** X

Access to the Discovery document MAY be protected with existing web authentication methods if required by the *Identity Exchange*. *Credentials* for the Discovery document are then managed by the *Identity Exchange* and support for these authentication methods is outside the scope of this specification.

**TDIF Req:** OIDC-02-07-08; **Updated:** Mar-20; **Applicability:** X

Endpoints described in the Discovery document MUST be secured in accordance with this specification and MAY have additional controls the *Identity Exchange* wishes to support.

All OpenID Connect servers are uniquely identified by a URL known as the issuer, this will also be the case for *Identity Exchanges*. This URL serves as the prefix of a service discovery endpoint as specified in the OpenID Connect Discovery standard.

**TDIF Req:** OIDC-02-07-09; **Updated:** Mar-20; **Applicability:** X

The discovery document MUST as a minimum contain the following fields:

- `Issuer`.
  - The fully qualified issuer URL of the server.
- `authorization_endpoint`.
  - The fully qualified URL of the server's authorisation endpoint defined by [RFC 6749].
- `token_endpoint`.
  - The fully qualified URL of the server's token endpoint defined by [RFC 6749].
- `introspection_endpoint`.
  - The fully qualified URL of the server's introspection endpoint defined by the OAuth Token Introspection RFC – [RFC 7662].
- `revocation_endpoint`.
  - The fully qualified URL of the server's revocation endpoint as defined by [RFC 7009].

- `jwtks_uri`.
  - The fully qualified URI of the server's public key in JWK Set format as defined in [RFC 7517].
- `scopes_supported`.
  - The list of scopes defined in the *TDIF: 06D - Attribute Profile* that the *Identity Exchange* supports.
- `claims_supported`.
  - The list of claims available in the supported scopes.

Below is an example JSON document found at the discovery endpoint for an authorisation server.

```
"request_parameter_supported": true,
"id_token_encryption_alg_values_supported": [
  "RSA-OAEP", "RSA1_5", "RSA-OAEP-256"
],
"registration_endpoint": "https://idexchange.gov.au/register",
"userinfo_signing_alg_values_supported": [
  "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
],
"token_endpoint": "https://idexchange.gov.au/token",
"request_uri_parameter_supported": false,
"request_object_encryption_enc_values_supported": [
  "A192CBC-HS384", "A192GCM", "A256CBC+HS512",
  "A128CBC+HS256", "A256CBC-HS512",
  "A128CBC-HS256", "A128GCM", "A256GCM"
],
"token_endpoint_auth_methods_supported": [
  "private_key_jwt",
],
"userinfo_encryption_alg_values_supported": [
  "RSA-OAEP", "RSA1_5",
  "RSA-OAEP-256"
],
"subject_types_supported": [
  "pairwise"
],
"id_token_encryption_enc_values_supported": [
  "A192CBC-HS384", "A192GCM", "A256CBC+HS512",
  "A128CBC+HS256", "A256CBC-HS512", "A128CBC-HS256",
  "A128GCM", "A256GCM"
],
"claims_parameter_supported": false,
"jwtks_uri": "https://idexchange.gov.au/jwk",
"id_token_signing_alg_values_supported": [
  "HS256", "HS384", "HS512", "RS256", "RS384", "RS512", "none"
```

```

],
"authorization_endpoint": "https://idexchange.gov.au/authorize",
"require_request_uri_registration": false,
"introspection_endpoint": "https://idexchange.gov.au/introspect",
"request_object_encryption_alg_values_supported": [
  "RSA-OAEP", "?RSA1_5", "RSA-OAEP-256"
],
"service_documentation": "https://idexchange.gov.au/about",
"response_types_supported": [
  "code"
],
"token_endpoint_auth_signing_alg_values_supported": [
  "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
],
"revocation_endpoint": "https://idexchange.gov.au/revoke",
"request_object_signing_alg_values_supported": [
  "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
],
"claim_types_supported": [
  "normal"
],
"grant_types_supported": [
  "authorization_code",
],
"scopes_supported": [
  "profile", "openid", "email", "phone"
],
"userinfo_endpoint": "https://idexchange.gov.au/userinfo",
"userinfo_encryption_enc_values_supported": [
  "A192CBC-HS384", "A192GCM", "A256CBC+HS512", "A128CBC+HS256",
  "A256CBC-HS512", "A128CBC-HS256", "A128GCM", "A256GCM"
],
"op_tos_uri": "https://idexchange.gov.au/about",
"issuer": "https://idexchange.gov.au/",
"op_policy_uri": "https://idexchange.gov.au/about",
"claims_supported": [
  "sub", "name", "acr", "family_name", "given_name", "birthdate",
  "email", "phone"
]
}

```

### 2.7.1.5 PKCE

**TDIF Req:** OIDC-02-07-10; **Updated:** Mar-20; **Applicability:** X

An Authorisation Server ***MUST*** support the Proof Key for Code Exchange (PKCE) extension to the authorization code flow, including support for the S256 code exchange method.

**TDIF Req:** OIDC-02-07-11; **Updated:** Mar-20; **Applicability:** X

The Authorisation Server ***MUST NOT*** allow a client to use the plain code challenge method.

## 2.7.2 Response to Authorisation Requests

**TDIF Req:** OIDC-02-07-12; **Updated:** Mar-20; **Applicability:** X

The Authorization Response to the Authorization Code flow **MUST** return the following fields in the response:

- State.
  - The value of the state parameter passed in via the authentication request. This value **MUST** match exactly.

- Code .
  - The authorisation code, a random string issued by the *IdP* to be used in the request to the token endpoint.

The key requirements for these fields are described in the OAuth 2.0 specification **[RFC 6749]** section 4.1.2.

An example response is shown below:

```
https://idexchange.gov.au/web/oidc/loginResponse?
state=2ca3359dfbfd0
&code=gOIFJ1hV6Rb1sxUdFhZGACWwR1sMhYbJJcQbVJN0wHA
```

The authentication response is sent via HTTP redirect to the URI specified in the request.

### *2.7.2.1 Authentication Error Response*

The Authentication Error Response is the message returned from the *IdPs* (*OP*) Authorisation Endpoint in response to the Authorisation Request sent by the *Identity Exchange*.

If the End-User denies the request or the End-User authentication fails, the *OP* informs the *RP* by using the error responses defined in either section 4.1.2.1 of OAuth 2.0 or the error codes defined in section 3.1.2.6 of the OpenID Connect Core 1.0 specification.

The additional authentication error responses defined by this Profile are:

- `authentication_cancelled`.
  - The end-user did not proceed with the authentication interaction.

### *2.7.2.2 Responding to Invalid Claims*

**TDIF Req:** OIDC-02-07-13; **Updated:** Mar-19; **Applicability:** X

If the *Applicant* receives a request for a scope or claim for which it can not return a value, it **MUST** ignore the scopes or claims for which a value can not be returned, subject to TDIF Req: OIDC-02-07-15. See s5.5 of the [OpenID.Core] for further detail.

**TDIF Req:** OIDC-02-07-14; **Updated:** Mar-20; **Applicability:** X

The *Applicant* **MUST** deny an authentication request as per section 4.1.2.1 of [RFC

6749] from a *Relying Party* using the error code `access_denied` if the *Relying Party* requests a claim or scope it is not authorised to request, as defined in section 2.3 of the [TDIF.Attr].

### 2.7.3 Token Response

The token response includes an access token, which can be used to make a UserInfo request, and an ID token (a signed and optionally encrypted JSON Web Token). This may also include a Refresh Token. The details of a successful token response can be found in section 3.1.3.3 of the [OpenIDCore].

**TDIF Req:** OIDC-02-07-15; **Updated:** Mar-20; **Applicability:** X

All tokens **MUST** be signed by the issuer Exchange's private key.

**TDIF Req:** OIDC-02-07-16; **Updated:** Mar-20; **Applicability:** X

For clients using the Authorization Code grant type, access tokens **MAY** have a valid lifetime no greater than one hour.

**TDIF Req:** OIDC-02-07-17; **Updated:** Mar-20; **Applicability:** X

Refresh tokens, if issued, **MAY** have a lifetime no longer than 24 hours.

**TDIF Req:** OIDC-02-07-18; **Updated:** Mar-20; **Applicability:** X

These token lifetime values are recommended values and **MAY** be varied in accordance with a security risk assessment and the agreement of the *Relying Parties* that an *Identity Exchange* supports.

#### 2.7.3.1 ID Tokens

**TDIF Req:** OIDC-02-07-19; **Updated:** Mar-20; **Applicability:** X

ID Tokens **MAY** be encrypted using the appropriate key of the requesting client.

**TDIF Req:** OIDC-02-07-20; **Updated:** Mar-20; **Applicability:** X

The ID Token must expire and **MAY** have a lifetime no longer than five minutes. Short expiration times are recommended as the ID token is consumed by the client and not presented to remote systems

**TDIF Req:** OIDC-02-07-21; **Updated:** Mar-20; **Applicability:** X

When an ID Token is returned, ID Token values which are defined as REQUIRED **MUST** be included in the ID Token.



```
aHR0cHM6XC9cL2lkcC1wLmV4YW1wbGUuY29tXC8iL
CJpYXQiOjE0MTg2OTg4MTJ9mQc0rtL56dnJ7_zO_f
x8-qObsQhXcn-qN-FC3JIDBuNmP8i11LRA_sgh_om
RRfQAUhZD5qTRPAKbLuCD4511f7ALAUwoGg8zAASI
5QNGXoBvVn7buxPd2SElbSnHxu0o8ZsUZZwNpircW
NU1YLje6APJf0kre9ztTj-5J1hRKfbbHodR2I1m5q
8zQR0q1-FoFlOfPhvfurXxCRGqPlxpvLLBUi0JAw3
F8hZt_i1RUYWMqLQZV4VU3eVNeIPAD38qD1fxTXGV
Ed2XDJpm1cxjrWxzJ8fGfJrbsiHCzmCjflhv34O22
zb01JpC0d0VScqxXjNTa2-ULyCoehLcezmssg
```

Its claims are as follows:

```
{
  "auth_time": 1418698782,
  "exp": 1418699412,
  "sub": "6WZQPpnQxV",
  "nonce": "188637b3af14a",
  "aud": "s6BhdRkqt3",
  "iss": "https://idexchange.gov.au/",
  "acr": "urn:id.gov.au:tdif:acr:ip3:cl2",
  "tdif_audit_id": "AA97B177-9383-4934-8543-0F91A7A02836"
  "iat": 1418698812
}
```

## 2.7.4 UserInfo Endpoint

**TDIF Req:** OIDC-02-07-23; **Updated:** Mar-20; **Applicability:** X

*Identity Exchanges MUST support the use of the UserInfo endpoint for claims and scopes which are stated as described in the *TDIF: 06 - Federation Onboarding Requirements*.*

**TDIF Req:** OIDC-02-07-24; **Updated:** Mar-20; **Applicability:** X

The UserInfo endpoint MUST only return claims that are authorised within the authentication request that issued the access token that is being used to access the endpoint.

Support for the UserInfo endpoint is important for maximum client implementation interoperability even if no additional user information is returned. Clients are not required to call the UserInfo endpoint but should not receive an error if they do.

A request to the UserInfo endpoint would look like the following example:

```
GET /userinfo HTTP/1.1
Authorization: Bearer eyJhbGciOiJSUzI1NiJ9.eyJleHAiOjE0MTg2OTg4MTJ9mQc0rtL56dnJ7_zO_fx8-qObsQhXcn-qN-FC3JIDBuNmP8i11LRA_sgh_omRRfQAUhZD5qTRPAKbLuCD4511f7ALAUwoGg8zAASI5QNGXoBvVn7buxPd2SElbSnHxu0o8ZsUZZwNpircWNU1YLje6APJf0kre9ztTj-5J1hRKfbbHodR2I1m5q8zQR0q1-FoFlOfPhvfurXxCRGqPlxpvLLBUi0JAw3F8hZt_i1RUYWMqLQZV4VU3eVNeIPAD38qD1fxTXGVEd2XDJpm1cxjrWxzJ8fGfJrbsiHCzmCjflhv34O22zb01JpC0d0VScqxXjNTa2-ULyCoehLcezmssg
```

With the following response:



```
HTTP/1.1 200 OK
Date: Tue, 16 Dec 2017 08:00:12 GMT
Access-Control-Allow-Origin: *
Content-Type: application/json;charset=ISO-8859-1
Content-Language: en-US
Content-Length: 333
Connection: close

{
  "sub": "6WZQPpnQxV",
  "iss": "https://idexchange.gov.au"
  "given_name": "Stephen",
  "family_name": "Michaels",
  "birthdate": "1974-02-29"
}
```

UserInfo claims must be returned as members of a JSON object unless a signed or encrypted response was requested during Client Registration.

**TDIF Req:** OIDC-02-07-25; **Updated:** Mar-20; **Applicability:** X

For privacy reasons, the Exchange MAY elect to not return values for some of the requested claims; it shouldn't present with a null or empty string value.

**TDIF Req:** OIDC-02-07-26; **Updated:** Mar-20; **Applicability:** X

The `sub` claim MUST always be returned in the UserInfo Response.

## 2.7.5 Request Objects

**TDIF Req:** OIDC-02-07-27; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* operating as an *OP* MUST accept requests containing a request object signed by the client's private key.

**TDIF Req:** OIDC-02-07-28; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* MUST validate the signature on such requests against the Clients public key.

**TDIF Req:** OIDC-02-07-29; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* MUST accept request objects encrypted with the *Identity Exchanges* Public key.

## 2.7.6 Authentication Context

**TDIF Req:** OIDC-02-07-30; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* **MUST** provide an Authentication Context Class Reference (ACR), See section 2.8.3 below on valid ACR Claims.

**TDIF Req:** OIDC-02-07-31; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* **MUST** return the ACR value used for the authentication even if the `acr` claim was not marked essential or the `acr_values` parameter was used.

## 2.8 Entity Information

### 2.8.1 Claims supported

**TDIF Req:** OIDC-02-08-01; **Updated:** Mar-20; **Applicability:** X

Discovery mandates the inclusion of the `claims_supported` field that defines the claims a client **MAY** expect to receive for the supported scopes.

**TDIF Req:** OIDC-02-08-02; **Updated:** Mar-20; **Applicability:** X

Authorisation Servers **MUST** return claims on a best effort basis. An *Identity Exchange* asserting that it can provide a user claim however, does not imply that the data is available for all its users.

**TDIF Req:** OIDC-02-08-03; **Updated:** Mar-20; **Applicability:** X

*Identity Exchanges* **MUST** only return claims as described in the *TDIF: 06D - Attribute Profile*.

Some attributes will only be available via the UserInfo endpoint. These attributes are noted within the *TDIF: 06D - Attribute Profile*.

### 2.8.2 Scope Profiles

The available scope profiles and supported claims are described within the *TDIF:06D - Attribute Profile*.

## 2.8.3 Valid *ACR* Claims

Assurance levels are represented using the *ACR* values that defined in section 4.2.1 of the *TDIF: 06 Federation Onboarding Requirements*. Required *ACR* values can be requested in an OIDC authentication request using either the `acr_values` parameter or the `acr` claim as part of a `claims` parameter.

**TDIF Req:** OIDC-02-08-04; **Updated:** Mar-20; **Applicability:** A

A *Relying Party* MAY use either `acr_values` or the `acr` claim to request an *ACR*.

**TDIF Req:** OIDC-02-08-05; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* MUST reject any requests that include both the `acr_values` parameter and the `acr` claim to request an *ACR*.

**TDIF Req:** OIDC-02-08-06; **Updated:** Mar-20; **Applicability:** X

Where the *ACR* is requested using the `acr` claim, this `acr` claim MAY be marked as essential claim as per the example below:

```
"claims": {
  "id_token": {
    "acr": {
      "essential": true,
      "values": ["urn:id.gov.au:tdif:ACR:ip2:c13"]
    }
  }
}
```

**TDIF Req:** OIDC-02-08-07; **Updated:** Mar-20; **Applicability:** X

When the `acr` values are marked as an essential claim, the *Identity Provider* MUST return a value that matches the requested values.

**TDIF Req:** OIDC-02-08-08; **Updated:** Mar-20; **Applicability:** X

If the End-User is unable to achieve a level of assurance that matches the request then an authentication error response MUST be returned.

The `acr_values` parameter may be used to request the required *ACR* value as per the example below:

```
acr_values=urn:id.gov.au:tdif:acr:ip2:c13
```

When requesting the *ACR* claim using the `acr_values` parameter it is requested as a voluntary claim i.e. cannot be marked as essential.

**TDIF Req:** OIDC-02-08-09; **Updated:** Mar-20; **Applicability:** X

When the `acr` claim is not marked as essential, i.e. they are a voluntary claim, the *Applicant* MAY return the level of assurance that the End-User was able to achieve.

A single *ACR* value can be requested by the *Relying Party* to specify the minimum level of assurance that is required by the *Relying Party*. The *Identity Exchange* interprets this as a request for any assurance level that meet or exceeds the requested level. The *Identity Exchange* will explicitly include all the *ACR* values that will meet the requested minimum in the request it generates to the Identity Provider.

The specification of the `acr` claim within the request object is the preferred method for requesting the *ACR*.

**TDIF Req:** OIDC-02-08-10; **Updated:** Mar-20; **Applicability:** X

The *Relying Party* MUST determine if the returned *ACR* meets the minimum requirement for the authentication context that was requested.

## 2.9 Privacy Considerations

Attributes are only be shared in accordance with the *Attribute Sharing Policies* set out in the *TDIF: 06 - Federation Onboarding Requirements*.

## 2.10 Security Considerations

**TDIF Req:** OIDC-02-10-01; **Updated:** Mar-20; **Applicability:** A, X

All transactions MUST be protected by *TLS*. The specific requirements for the version of *TLS* to be used by an *Applicant* can be found in section 4.2.8 of the *TDIF: 04 – Functional Requirements*.

**TDIF Req:** OIDC-02-10-02; **Updated:** Mar-20; **Applicability:** A

All clients MUST conform to the applicable recommendations in the Security considerations section of **[RFC 6749]** and those found in the OAuth 2.0 Threat Model and Security Considerations document.

See [RFC 8252] for best practice for native apps.

## 3 Identity Exchange to Identity Service Provider Profile

This section describes the OpenID Connect Profile for *Identity Exchanges* to authenticate and receive identity data, as a *Relying Party*, from an Identity Provider operated by an Accredited Provider within the TDIF.

Note: an OpenID Provider or *OP* is an OAuth 2.0 Authorisation Server that is capable of authenticating the End User and providing claims to a *Relying Party (RP)* about the authentication event and the End User. Any use of *OP* or authorisation server within this profile can be considered congruous.

Where an *Identity Exchange* interacts with an Identity Provider as a result of a request from a *Relying Party* then the *Identity Exchange* must generate authentication requests to an Identity Provider.

### 3.1 Client Types

The following profile descriptions give patterns for deployment for use in different types of client applications based on the OAuth grant type.

**TDIF Req:** OIDC-03-01-01; **Updated:** Mar-20; **Applicability:** X, I

The resource owner password credentials grant type as defined in [RFC 6749] is intentionally omitted and ***MUST NOT*** be used under these profiles.

As the *Identity Exchange* is acting as a proxy the Full Client with delegation is the only client type available. In this profile the only clients are *Identity Exchanges*.

These client types are as described in the iGov OAuth 2.0 profiles.

#### 3.1.1 Full Client with User Delegation

This client type applies to clients that act on behalf of a particular resource owner and require delegation of that user's authority to access the protected resource.

Furthermore, these clients are capable of interacting with a separate web browser application to facilitate the resource owner's interaction with the authentication endpoint of the authorisation server.

**TDIF Req:** OIDC-03-01-02; **Updated:** Mar-20; **Applicability:** I

These clients MUST use the authorisation code flow of OAuth 2.0 by sending the resource owner to the authorisation endpoint to obtain authorisation.

**TDIF Req:** OIDC-03-01-03; **Updated:** Mar-20; **Applicability:** I

The user MUST authenticate to the authorisation endpoint. The user's web browser is then redirected back to a URI hosted by the client service, from which the client can obtain an authorisation code passed as a query parameter. The client then presents that authorisation code along with its own credentials (`private_key_jwt`) to the authorisation server's token endpoint to obtain an access token.

**TDIF Req:** OIDC-03-01-04; **Updated:** Mar-20; **Applicability:** I

These clients MUST be associated with a unique public key as described in 3.4 below.

**TDIF Req:** OIDC-03-01-05; **Updated:** Mar-20; **Applicability:** X

This client type MAY request and be issued a refresh token if the security parameters of the request allow for it.

## 3.2 Client Registration

**TDIF Req:** OIDC-03-02-01; **Updated:** Mar-20; **Applicability:** X

The *Applicant* MUST register with the authorisation server, and each client instance must receive a unique client identifier from the authorisation server.

**TDIF Req:** OIDC-03-02-02; **Updated:** Mar-20; **Applicability:** I

Client registration MUST use a static configuration. There is no support for the dynamic registration of *Identity Exchange* clients by Identity Providers.

### 3.3 Redirect URI

**TDIF Req:** OIDC-03-03-01; **Updated:** Mar-20; **Applicability:** X

As Clients, *Identity Exchanges* must use the `authorization_code` grant type so **MUST** register their full redirect URIs.

**TDIF Req:** OIDC-03-03-02; **Updated:** Mar-20; **Applicability:** I

The authorisation server **MUST** validate the redirect URI given by the client at the authorisation endpoint using strict string comparison.

A client must protect the values passed back to its redirect URI by ensuring that the redirect URI is:

- Hosted on a website with TLS protection (HTTPS).

**TDIF Req:** OIDC-03-03-03; **Updated:** Mar-20; **Applicability:** X

The *Applicant* **MUST NOT** have multiple redirect URIs on different domains.

**TDIF Req:** OIDC-03-03-04; **Updated:** Mar-20; **Applicability:** X

The *Applicant* **MUST NOT** forward values passed back to their redirect URIs to other arbitrary or user-provided URIs (i.e. no open redirectors).

### 3.4 Client Keys

**TDIF Req:** OIDC-03-04-01; **Updated:** Mar-20; **Applicability:** X

As Clients using the authorisation code grant type, *Identity Exchanges* **MUST** have a public and private key pair type for use in authentication to the token endpoint.

**TDIF Req:** OIDC-03-04-02; **Updated:** Mar-20; **Applicability:** X

As a client, the *Applicant* **MUST** register their public keys in their client registration metadata by either sending the public key directly in the `jwtks` field or by registering a `jwtks_uri` that **MUST** be reachable by the authorisation server. It is recommended that clients use a `jwtks_uri` as it allows for easier key rotation.

**TDIF Req:** OIDC-03-04-03; **Updated:** Mar-20; **Applicability:** X

The `jwtks` field or the content available from the `jwtks_uri` of a client **MUST** contain a public key in JSON Web Key Set (JWK Set) format.

**TDIF Req:** OIDC-03-04-04; **Updated:** Mar-20; **Applicability:** I

The authorisation server ***MUST*** validate the content of the clients registered `jwtks_uri` document and verify that it contains a JWK Set. The example below is a 2048 bit RSA key.

```
{
  "keys": [
    {
      "alg": "RS256",
      "e": "AQAB",
      "n": "kAMYD62n_f2rUcR4awJX4uccDt0zcXRssq_mDch5-
ifcShx9aTtTVza23P
Tn3KaKrsBXwWcfioXR6zQn5eYdzQVGNBfOR4rxF5i7t3hfb4Wks50EK1gBYk2l09NSrQ-
xG9QsUsAnN6RHksXqsdOqvnXjLexDfIJlgbCN9h6TBC66ZXv7PVh119gIYVifSU7liHk
Le0l0fw7jUI6rHLHf4d96_neR1HrNIK_xssr99Xpv1EM_ubxpktX0T925qej9fMEpzzQ5
HLmcNt1H2_VQ_Ww1JOLn9vRnH48FDj7Tx1IT74XdTZgTv31w_GRPAOfyxEw_ZUmXhz5Z-
gTlQ",
      "kty": "RSA",
      "kid": "oauth-client"
    }
  ]
}
```

## 3.5 Grant Types

The only supported grant type is `authorization_code`.

## 3.6 Relying Party Profile (Identity Exchange)

### 3.6.1 Audit Logging

**TDIF Req:** OIDC-03-06-01; **Updated:** Mar-20; **Applicability:** X

The Exchange ***MUST*** log all the related interactions with Identity Providers using the unique audit id it has generated for an authentication request from a *Relying Party* as per the *TDIF: 06 - Federation Onboarding Requirements*.

**TDIF Req:** OIDC-03-06-02; **Updated:** Mar-20; **Applicability:** X

To enable a traceable audit trail for requests sent to an Identity Provider, an Exchange ***MUST*** implement a scheme to ensure that each request be uniquely identifiable at the Identity Provider.



A recommended scheme is for an *Identity Exchange* to generate a value for the `state` parameter that is unique. This enables an Identity Provider to maintain the required audit trail without requiring any additional bespoke elements in this profile.

### 3.6.2 Requests to the Authorization Endpoint (Authentication Requests)

**TDIF Req:** OIDC-03-06-03; **Updated:** Mar-20; **Applicability:** X

Clients making a request to the authorisation endpoint **MUST** use an unpredictable value for the state parameter with at least 128 bits of entropy.

**TDIF Req:** OIDC-03-06-04; **Updated:** Mar-20; **Applicability:** X

Clients **MUST** validate the value of the state parameter upon return to the redirect URI and **MUST** ensure that the state value is securely tied to the user's current session e.g. by relating the state value to a session identifier issued by the client software to the browser.

**TDIF Req:** OIDC-03-06-05; **Updated:** Mar-20; **Applicability:** X

Clients **MUST** include their full redirect URIs in the authorisation request. To prevent open redirection and other injection attacks, the authorisation server **MUST** match the entire redirect URI using a direct string comparison against registered values and **MUST** reject requests with invalid or missing redirect URIs.

**TDIF Req:** OIDC-03-06-06; **Updated:** Mar-20; **Applicability:** X

The Authentication Request **MUST** contain the following REQUIRED parameters and **MAY** contain the following OPTIONAL parameter values:

- `client_id`.
  - REQUIRED. OAUTH 2.0 Client Identifier valid at the Authorisation Server.
- `response_type`.
  - REQUIRED. Must be set to code.
- `scope`.
  - REQUIRED. Indicates the attributes being requested. The `openid` scope **MUST** always be present.

- `redirect_uri`.
  - REQUIRED. Indicates a valid endpoint where the client will receive the authentication response. The URI must match exactly one of the Redirection URIs preregistered at the *OP*. The redirection URI SHOULD use the https scheme.
- `state`.
  - REQUIRED. Un-guessable random string generated by the *RP* used to protect against CSRF attacks. Must contain a sufficient amount of entropy to avoid guessing and is returned to the *RP* in the authentication response.
  - This profile recommends that this value also be unique for each request generated by the *RP* (*Identity Exchange*).
- `nonce`.
  - REQUIRED. Un-guessable random string generated by the client, used to protect against CSRF attacks. Must contain sufficient entropy to avoid guessing. Returned to the Client in the ID Token.
- `acr_values`.
  - OPTIONAL. See section 3.8.3 of this document for additional requirements around making valid requests for specific values of an *ACR*.
- `user_flow`
  - OPTIONAL. A string value that indicates the desired user flow for the user. Defined values are:
    - `sign_in`: An Exchange requests this when a *Relying Party* expects the user to already have a digital identity and sign in at the Identity Provider.
    - `sign_up`: An Exchange requests this when a *Relying Party* expects the user to need to create a digital identity at an Identity Provider.
- `claims`
  - OPTIONAL. This parameter is used to request that specific Claims be returned. The value is a JSON object listing the requested Claims. This is made according to section 5.5 of [OpenIDCore].

The values of the `scope` and `acr_values` parameters are mapped from the original request to the *Identity Exchange* by a *Relying Party* that triggered the generation of this request. Additional OIDC parameters may also be mapped from the original request to the *Identity Exchange* that triggered this request from the *Identity Exchange* to the Identity Provider. The mapping of some of these parameters is described in section 4.2.1 of *TDIF 06 Federation Onboarding Requirements*.

A sample request may look like the following example:

```
https://idp.gov.au/oidc/authorization?
  response_type=code
  &client_id=827937609728-m2mvqffo9bsefh4di90saus4n0diar2h
  &scope=profile+email+phone+openid

&redirect_uri=https%3A%2F%2Fidexchange.gov.au%2Foidc%2FloginResponse
  &state=2ca3359dfbfd0
&acr_values=urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl2+urn%3Aid.gov.au%
3Atdif%3Aacr%3Aip3%3Acl3+urn%3Aid.gov.au%3Atdif%3Aacr%3Aip4%3Acl3
```

### 3.6.3 Requests to the Token Endpoint

Requests to the token endpoint require client authentication. The client authentication mechanism is a signed JWT and is defined in section 3.7.2.2.

The claims that are included in the JWT are summarised below:

- `iss.`
  - The client Id of the client creating the JWT.
- `sub.`
  - The client Id of the client creating the JWT.
- `aud.`
  - The URL of the authorisation server's token endpoint.
- `iat.`
  - The time that the token was created by the client.
- `exp.`
  - The expiration time after which the token must be considered invalid.
- `jti.`
  - A unique, random, identifier generated by the client for this authentication.

**TDIF Req:** OIDC-03-06-07; **Updated:** Mar-20; **Applicability:** X

Additional claims MAY be included in this set.

The following is an example of the use of the required claims for a client authentication JWT as defined in this profile.

```
{
  "iss": "55f9f559-2496-49d4-b6c3-351a586b7484",
  "sub": "55f9f559-2496-49d4-b6c3-351a586b7484",
  "aud": "https://idp.gov.au/token",
  "iat": 1418698788,
  "exp": 1418698848,
  "jti": "1418698788/107c4da5194df463e52b56865c5af34e5595"
}
```

**TDIF Req:** OIDC-03-06-08; **Updated:** Mar-20; **Applicability:** X

The JWT assertion MUST be signed by the client using the client's private key. See section 3.4 for the mechanisms by the client can make its public key known to the authorization server.

**TDIF Req:** OIDC-03-06-09; **Updated:** Mar-20; **Applicability:** X

The following claims MUST be included in a request to a Token Endpoint:

- `grant_type`.
  - MUST be set to `authorization_code`.
- `code`.
  - The value of the `code` parameter returned in the authorisation response.
- `redirect_uri`.
  - value MUST be identical to the value of the `redirect_uri` parameter that was included in the authorisation request as described in section 3.6.2.
- `client_assertion_type`.
  - MUST be set to: `urn:ietf:params:oauth:client-assertion-type:jwt-bearer`.
- `client_assertion`.
  - The value of the signed client authentication JWT generated as described below in the ID Tokens section. The *RP* must generate a new assertion JWT for each call to the token endpoint.

These would be sent to the token endpoint as shown in the example below:

```
POST /token HTTP/1.1
Content-Type: application/x-www-form-urlencoded
```



**TDIF Req:** OIDC-03-06-12; **Updated:** Mar-20; **Applicability:** X

Clients **MUST** verify the following in received ID tokens:

- `iss`.
  - The Issuer field is the URL of the expected issuer.
- `aud`.
  - The audience field contains the client ID of the client.
- `nonce`
  - String value used to associate a Client session with an ID Token.
- `exp, iat, nbf`.
  - The expiration, issued at and not before tokens are dates (integer number of seconds since 00:00:00Z 1<sup>st</sup> January 1970, i.e. Unix epoch) are within acceptable ranges.

### 3.6.6 Request Objects

**TDIF Req:** OIDC-03-06-13; **Updated:** Mar-20; **Applicability:** X

Clients **MAY** optionally send requests to the Authorization Endpoint using the `request` parameter as defined in [OpenIDCore].

**TDIF Req:** OIDC-03-06-14; **Updated:** Mar-20; **Applicability:** X

Request objects **MUST** be signed by the clients registered key. Request objects **MAY** be encrypted to the Authorisation Server's public key.

### 3.6.7 Discovery

**TDIF Req:** OIDC-03-06-15; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* **MAY** cache OpenID Provider (*OP*) metadata once an *OP* has been discovered and used by the *Identity Exchange*.

## 3.7 Identity Provider Profile

### 3.7.1 Audit logging

**TDIF Req:** OIDC-03-07-01; **Updated:** Mar-20; **Applicability:** I

The Identity Provider ***MUST*** always log all authentication requests and responses, including the values of `client_id` and the `state` parameters associated with the request.

### 3.7.2 Connecting to Clients

#### *3.7.2.1 Grant Types*

The only supported grant type is `authorization_code`. This grant type is described in section 4.1 of [RFC 6749].

The Authorization Code Flow is the only authentication flow supported by this federation. The Authorization Code Flow returns an Authorization Code to the client that the client can then exchange for an ID Token and an Access Token. This provides the benefit of not exposing any tokens to the User Agent and potentially malicious applications with access to the User Agent.

**TDIF Req:** OIDC-03-07-02; **Updated:** Mar-20; **Applicability:** I

The authorisation server ***MUST*** validate all redirect URIs for the `authorization_code` grant type.

#### *3.7.2.2 Client Authentication*

**TDIF Req:** OIDC-03-07-03; **Updated:** Mar-20; **Applicability:** I

The authorisation server ***MUST*** enforce client authentication to the authorization servers token endpoint using a JWT assertion as defined by using only the `private_key_jwt` method as described in [OpenIDCore]. Clients that have registered a public key sign a JWT using the associated private key. The Client authenticates in accordance with JSON Web Token (JWT) Profile for OAuth 2.0 Client Authentication and Authorization Grants and Assertion Framework for OAuth 2.0 Client Authentication and Authorization Grants.

**TDIF Req:** OIDC-03-07-04; **Updated:** Mar-20; **Applicability:** I

The JWT must expire and MAY have a lifetime no longer than five minutes. Short expiration times are recommended wherever practicable. The following guidance is provided in [RFC 7523] regarding expiration times: the JWT MUST contain an "exp" (expiration time) claim that limits the time window during which the JWT can be used. The authorization server MUST reject any JWT with an expiration time that has passed, subject to allowable clock skew between systems. Note that the authorization server may reject JWTs with an "exp" claim value that is unreasonably far in the future.

**TDIF Req:** OIDC-03-07-05; **Updated:** Mar-20; **Applicability:** X

The JWT MUST contain the following REQUIRED claims and MAY contain the following OPTIONAL Claim values:

- Iss.
  - REQUIRED. Issuer. This MUST contain the `client_id` of the client creating the token.
- Sub.
  - REQUIRED. Subject. This MUST contain the `client_id` of the client creating the token.
- Aud.
  - REQUIRED. Audience. The value that identifies the Authorisation Server as an intended audience. The Authorisation Server MUST verify that it is an intended audience for the token. The Audience MAY be the URL of the Authorisation Server's Token Endpoint.
- Jti.
  - REQUIRED. JWT ID. A unique identifier for the token generated by the client, which can be used to prevent reuse of the token. This identifier MUST contain at least 128 bits of entropy and MUST NOT be re-used by any subsequent authentication token.
- Exp.
  - REQUIRED. Expiration time on or after which the ID Token MUST NOT be accepted for processing.



- `iat`.
  - OPTIONAL. Time at which the JWT was issued.

### 3.7.2.3 Dynamic Registration

Dynamic Registration of clients is not supported.

### 3.7.2.4 Discovery

The OpenID Connect discovery standard provides a standard pragmatic way for clients to obtain configuration details for communicating with *Identity Exchanges* and is an important part of building a scalable federation ecosystem.

Exposing a Discovery endpoint does not put the exchange at risk of attack.

**TDIF Req:** OIDC-03-07-06; **Updated:** Mar-20; **Applicability:** I

Endpoints and parameters specified in the Discovery document MAY be considered public information regardless of the existence of the discovery document.

**TDIF Req:** OIDC-03-07-07; **Updated:** Mar-20; **Applicability:** I

Access to the Discovery document MAY be protected with existing web authentication methods if required by the *Identity Exchange*. Credentials for the Discovery document are then managed by the *Identity Exchange* and support for these authentication methods is outside the scope of this specification.

**TDIF Req:** OIDC-03-07-08; **Updated:** Mar-20; **Applicability:** I

Endpoints described in the Discovery document MUST be secured in accordance with this specification and MAY have additional controls the *Identity Exchange* wishes to support.

All OpenID Connect servers are uniquely identified by a URL known as the issuer, this will also be the case for *Identity Exchanges*. This URL serves as the prefix of a service discovery endpoint as specified in the OpenID Connect Discovery standard.

**TDIF Req:** OIDC-03-07-09; **Updated:** Mar-20; **Applicability:** I

The discovery document MUST as a minimum contain the following fields:

- Issuer.
  - The fully qualified issuer URL of the server.
- authorization\_endpoint.
  - The fully qualified URL of the server's authorisation endpoint defined by [RFC 6749].
- token\_endpoint.
  - The fully qualified URL of the server's token endpoint defined by [RFC 6749].
- introspection\_endpoint.
  - The fully qualified URL of the server's introspection endpoint defined by the OAuth Token Introspection RFC – [RFC 7662].
- revocation\_endpoint.
  - The fully qualified URL of the server's revocation endpoint as defined by [RFC 7009].
- jwks\_uri.
  - The fully qualified URI of the server's public key in JWK Set format as defined in [RFC 7517].
- scopes\_supported.
  - The list of TDIF scopes as defined in the *TDIF: 06 Federation Onboarding Requirements* that the Identity Provider supports.
- claims\_supported
  - The list of claims available in the supported scopes.

Below is an example JSON document found at the discovery endpoint for an authorisation server.

```
{
  "request_parameter_supported": true,
  "id_token_encryption_alg_values_supported": [
    "RSA-OAEP", "RSA1_5", "RSA-OAEP-256"
  ],
  "registration_endpoint": "https://idexchange.gov.au/register",
  "userinfo_signing_alg_values_supported": [
    "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
  ],
  "token_endpoint": "https://idexchange.gov.au/token",
  "request_uri_parameter_supported": false,
  "request_object_encryption_enc_values_supported": [
    "A192CBC-HS384", "A192GCM", "A256CBC+HS512",

```

```

    "A128CBC+HS256", "A256CBC-HS512",
    "A128CBC-HS256", "A128GCM", "A256GCM"
  ],
  "token_endpoint_auth_methods_supported": [
    "private_key_jwt",
  ],
  "userinfo_encryption_alg_values_supported": [
    "RSA-OAEP", "RSA1_5",
    "RSA-OAEP-256"
  ],
  "subject_types_supported": [
    "pairwise"
  ],
  "id_token_encryption_enc_values_supported": [
    "A192CBC-HS384", "A192GCM", "A256CBC+HS512",
    "A128CBC+HS256", "A256CBC-HS512", "A128CBC-HS256",
    "A128GCM", "A256GCM"
  ],
  "claims_parameter_supported": false,
  "jwks_uri": "https://idexchange.gov.au/jwk",
  "id_token_signing_alg_values_supported": [
    "HS256", "HS384", "HS512", "RS256", "RS384", "RS512", "none"
  ],
  "authorization_endpoint": "https://idexchange.gov.au/authorize",
  "require_request_uri_registration": false,
  "introspection_endpoint": "https://idexchange.gov.au/introspect",
  "request_object_encryption_alg_values_supported": [
    "RSA-OAEP", "RSA-OAEP-256"
  ],
  "service_documentation": "https://idexchange.gov.au/about",
  "response_types_supported": [
    "code"
  ],
  "token_endpoint_auth_signing_alg_values_supported": [
    "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
  ],
  "revocation_endpoint": "https://idexchange.gov.au/revoke",
  "request_object_signing_alg_values_supported": [
    "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
  ],
  "claim_types_supported": [
    "normal"
  ],
  "grant_types_supported": [
    "authorization_code",
  ],
  "scopes_supported": [
    "profile", "openid", "email", "phone"
  ],
  "userinfo_endpoint": "https://idexchange.gov.au/userinfo",
  "userinfo_encryption_enc_values_supported": [
    "A192CBC-HS384", "A192GCM", "A256CBC+HS512", "A128CBC+HS256",
    "A256CBC-HS512", "A128CBC-HS256", "A128GCM", "A256GCM"
  ],
  "op_tos_uri": "https://idexchange.gov.au/about",
  "issuer": "https://idexchange.gov.au/",
  "op_policy_uri": "https://idexchange.gov.au/about",

```

```
"claims_supported": [
  "sub", "acr", "given_name", "family_name", "birthdate", "email",
  "phone "
]
```

### 3.7.3 Requests to the Authorisation Endpoint (Authentication Request)

**TDIF Req:** OIDC-03-07-10; **Updated:** Mar-20; **Applicability:** I

The *IdP* **MUST** support ALL of the mechanisms for requesting a Level of assurance as described in section 3.8.3 of this document.

### 3.7.4 User Consent

The *Identity Exchange* is a trusted party that is responsible for ensuring consent is provided by the user in accordance with the Attribute Sharing Policies set out in the *TDIF: 06D Attribute Profile*. An Identity Provider connected to an *Identity Exchange* is not required to gather user consent for these releasing these attributes to the *Relying Party* since:

- The *Identity Exchange* will ensure that the required user consent has been provided.
- The *Identity Exchange* hides the *Relying Party* from the Identity Provider so any user consent for the sharing of attributes will not be specific enough to meet the consent requirements stated in the *TDIF: 04 - FunctionalRequirements*.

### 3.7.5 Response to Authorisation Requests

**TDIF Req:** OIDC-03-07-11; **Updated:** Mar-20; **Applicability:** I

The Authorization Response to the Authorization Code flow **MUST** return the following fields in the response:

- State.
  - the value of the state parameter passed in via the authentication request. This value **MUST** match exactly.

- Code .
  - The authorisation code, a random string issued by the *IdP* to be used in the request to the token endpoint.

The key requirements for these fields are described in the OAuth 2.0 specification section 4.1.2.

An example response is shown below:

```
https://idexchange.gov.au/web/oidc/loginResponse?
state=2ca3359dfbfd0
&code=gOIFJ1hV6Rb1sxUdFhZGACWwR1sMhYbJJcQbVJN0wHA
```

The authentication response is sent via HTTP redirect to the URI specified in the request.

### 3.7.5.1 Authentication Error Response

The Authentication Error Response is the message returned from the *IdPs* (*OP*) Authorisation Endpoint in response to the Authorisation Request sent by the *Identity Exchange*.

If the End-User denies the request or the End-User authentication fails, the *OP* informs the *RP* by using the error responses defined in either section 4.1.2.1 of OAuth 2.0 or the error codes defined in section 3.1.2.6 of the OpenID Connect Core 1.0 specification.

The additional authentication error responses defined by this Profile are:

- `authentication_cancelled`.
  - The end-user did not proceed with the authentication interaction.

### 3.7.6 Token Response

A successful token response includes an access token, which can be used to make a UserInfo request, and ID token (a signed and optionally encrypted JSON Web Token) as per section 3.1.3.3 of the [OpenIDCore]. It may also include a Refresh token.

**TDIF Req:** OIDC-03-07-12; **Updated:** Mar-20; **Applicability:** I

All tokens ***MUST*** be signed by the issuer *IdP*'s private key.

### 3.7.6.1 ID Tokens

**TDIF Req:** OIDC-03-07-13; **Updated:** Mar-20; **Applicability:** I

ID Tokens MAY be encrypted using the appropriate key of the requesting client.

**TDIF Req:** OIDC-03-07-14; **Updated:** Mar-20; **Applicability:** I

The ID Token MUST expire and MAY have a lifetime no longer than five minutes. Short expiration times are recommended as the ID token is consumed by the client and not presented to remote systems.

**TDIF Req:** OIDC-03-07-15; **Updated:** Mar-20; **Applicability:** I

When an ID Token is returned, ID Token values which are defined as REQUIRED MUST be included in the ID Token.

**TDIF Req:** OIDC-03-07-16; **Updated:** Mar-20; **Applicability:** I

When an ID Token is returned, ID Token values which are defined as OPTIONAL MAY be included in the ID Token, unless otherwise specified in another requirement in this document.

ID Token values have the following meanings:

- iss.
  - REQUIRED. The issuer field is the URL of the expected issuer.
- aud.
  - REQUIRED: The audience field contains the client ID of the client.



```
        "c1bc84e4-47ee-4b64-bb52-5cda6c81f788"  
    ],  
    "iss": "https://idp.gov.au/",  
    "acr": "urn:id.gov.au:tdif:acr:ip3:cl2",  
    "iat": 1418698812,  
    "nbf": 1418698812  
}
```

### 3.7.7 UserInfo Endpoint

**TDIF Req:** OIDC-03-07-17; **Updated:** Mar-20; **Applicability:** I

Identity Providers **MUST** support the UserInfo endpoint for claims as described in the *TDIF: Attribute Profile*.

**TDIF Req:** OIDC-03-07-18; **Updated:** Mar-20; **Applicability:** I

The UserInfo endpoint **MUST** only return claims that are authorised within the authentication request that issued the access token that is being used to access the endpoint.

Support for the UserInfo endpoint is important for maximum client implementation interoperability even if no additional user information is returned. Clients are not required to call the UserInfo endpoint but should not receive an error if they do.

A request to the UserInfo endpoint would look like the following example:

```
GET /userinfo HTTP/1.1  
Authorization: Bearer eyJhbGciOiJSUzI1NiJ9.eyJleHAiOjE0MTg3MzE0MTIsIm
```

With the following response:

```
HTTP/1.1 200 OK  
Date: Tue, 16 Dec 2017 08:00:12 GMT  
Access-Control-Allow-Origin: *  
Content-Type: application/json;charset=ISO-8859-1  
Content-Language: en-US  
Content-Length: 333  
Connection: close  
  
{  
  "sub": "6WZQPpnQxV",  
  "iss": "https://idp.gov.au"  
  "given_name": "Stephen",  
  "family_name": "Michaels",  
  "birthdate": "1974-02-29",  
  "email": "mailto:s.micheals@gmail.com"  
}
```



UserInfo claims must be returned as members of a JSON object unless a signed or encrypted response was requested during Client Registration.

**TDIF Req:** OIDC-03-07-19; **Updated:** Mar-20; **Applicability:** I

For privacy reasons, the Identity Provider MAY elect to not return values for some of the requested claims; it should not present with a null or empty string value.

**TDIF Req:** OIDC-03-07-20; **Updated:** Mar-20; **Applicability:** I

The `sub` claim MUST always be returned in the UserInfo Response.

### 3.7.8 Request Objects

**TDIF Req:** OIDC-03-07-21; **Updated:** Mar-20; **Applicability:** I

The Identity Provider MUST accept requests containing a request object signed by the *Identity Exchange*'s private key.

**TDIF Req:** OIDC-03-07-22; **Updated:** Mar-20; **Applicability:** I

The Identity Provider MUST validate the signature on such requests against the *Identity Exchange*'s public key.

**TDIF Req:** OIDC-03-07-23; **Updated:** Mar-20; **Applicability:** I

The Identity Provider MUST accept request objects encrypted with the Identity Providers Public key.

### 3.7.9 Authentication Context

The *IdP* must provide the `acr` claim in ID Tokens as described in section 3.7.6. Assurance levels requested in the `acr` claim are represented using the *ACR* values defined in section 4.2.1 of the *TDIF: 06 - Federation Onboarding Requirements*.

**TDIF Req:** OIDC-03-07-24; **Updated:** Mar-20; **Applicability:** I

The Identity Provider MUST return the *ACR* value used for the authentication even if the `acr` claim was not marked as essential or the `acr_values` parameter was used.

## 3.8 Entity Information

The availability, quality and reliability of an individual's identity attributes will vary across Identity Providers depending on the Level of Assurance used to register the identity and the identity information provided as part of the registration process.

The following recommendations set client expectations on the type of data they may acquire.

### 3.8.1 Claims Supported

Discovery mandates the inclusion of the `claims_supported` field that defines the claims a client may expect to receive for the supported scopes.

**TDIF Req:** OIDC-03-08-01; **Updated:** Mar-20; **Applicability:** X  
Servers MUST return claims on a best effort basis.

**TDIF Req:** OIDC-03-08-02; **Updated:** Mar-20; **Applicability:** X  
An *Identity Exchange* asserting that it can provide a user claim however, does not imply that the data is available for all its users. Clients MUST be prepared to receive partial data.

**TDIF Req:** OIDC-03-08-03; **Updated:** Mar-20; **Applicability:** X  
*Identity Exchanges* MAY return claims outside of the `claims_supported` list but MUST ensure that they do not violate the privacy policies set out by the federation.

Some attributes will only be available via the UserInfo endpoint. These attributes are noted within the *TDIF: 06 - Federation Onboarding Requirements*.

### 3.8.2 Scope Profiles

The available scope profiles and supported claims are described within the *TDIF: 06 - Federation Onboarding Requirements*.

### 3.8.3 Valid ACR Claims

Assurance levels are represented using the ACR values defined in section 4.2.1 of the *TDIF: 06 - Federation Onboarding Requirements*.

**TDIF Req:** OIDC-03-08-04; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* MAY use either `acr_values` or the `acr` claim to request an ACR.

**TDIF Req:** OIDC-03-08-05; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* MUST NOT specify both the `acr` claim and `acr_values`.

**TDIF Req:** OIDC-03-08-06; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* (acting as the *Relying Party* in this profile) MUST request the full set of ACR values that will meet the original *Relying Party*'s minimum assurance requirements. For example:

```
"claims": {
  "id_token": {
    "acr": {
      "essential": true,
      "values": ["urn:id.gov.au:tdif:acr:ip3:cl2",
                "urn:id.gov.au:tdif:acr:ip3:cl3",
                "urn:id.gov.au:tdif:acr:ip4:cl3"]
    }
  }
}
```

**TDIF Req:** OIDC-03-08-07; **Updated:** Mar-20; **Applicability:** I

When the ACR values are marked as an essential claim, the Identity Provider MUST return a value that matches the requested values.

**TDIF Req:** OIDC-03-08-08; **Updated:** Mar-20; **Applicability:** I

If the End-User is unable to achieve a level of assurance that matches at least one of the ACR values requested by an Exchange then an authentication error response MUST be returned.

Where the `acr_values` parameter is used a space separated set of ACR strings must be provided. The Authentication Context Class satisfied by the authentication performed is returned as the `acr` claim Value.

```
acr_values=urn:id.gov.au:tdif:acr:ip3:cl2
urn:id.gov.au:tdif:acr:ip3:cl3 urn:id.gov.au:tdif:acr:ip4:cl3
```

When requesting the `acr` claim using this parameter it is requested as a voluntary claim i.e. cannot be marked as essential.

**TDIF Req:** OIDC-03-08-09; **Updated:** Mar-20; **Applicability:** I

When the `acr` claim is not marked as essential, i.e. they are a voluntary claim, the Identity Provider MAY return the level of assurance that the End-User was able to achieve.

The specification of the `acr` claim within the request object is the preferred method for requesting the `ACR`.

**TDIF Req:** OIDC-03-08-10; **Updated:** Mar-20; **Applicability:** X

The *Identity Exchange* MUST determine if the returned `ACR` meets the minimum requirement for the authentication context that was requested.

### 3.9 Privacy considerations

Attributes are only be shared in accordance with the *Attribute Sharing Policy* set out in the *TDIF: 06 - Federation Onboarding Requirements*. Data minimisation is an essential concept that underpins the *Australian Government's identity federation*. This is an important consideration in the design, for example, ensuring that only the minimum attribute set required to service the authentication request from a *Relying Party* is returned to the *Identity Exchange* from an *Identity Service Provider*.

### 3.10 Security considerations

**TDIF Req:** OIDC-03-10-01; **Updated:** Mar-20; **Applicability:** X, I

All transactions MUST be protected by TLS. The specific requirements for the version of TLS to be used by an *Applicant* can be found in section 4.2.8 of the *TDIF: 04 – Functional Requirements*.

**TDIF Req:** OIDC-03-10-02; **Updated:** Mar-20; **Applicability:** X

All clients *MUST* conform to the applicable recommendations in the Security considerations section of [RFC 6749] and those found in the OAuth 2.0 Threat Model and Security Considerations document [RFC 6819].

## 4 Attributes

### 4.1 OIDC attribute mapping

Broadly speaking:

- Attributes corresponds to claims in OIDC.
- Attribute Sets correspond to scopes in OIDC.

**TDIF Req:** OIDC-04-01-01; **Updated:** Mar-20; **Applicability:** A, I, X

When making or responding to a request using the OIDC 1.0 federation protocol the *Applicant* ***MUST*** use the mapping of the attributes to the scopes and claims specified in section 4.1 of **the** [TDIF.Attr].

#### *4.1.1.1 RP OIDC Scopes*

The mapping of attributes to the standard OIDC scopes that a *RP* may use to request identity attributes from an *Identity Exchange* is a minimalist attribute profile to maximise interoperability for *RPs* that have simple needs for identity attributes.

**TDIF Req:** OIDC-04-01-02; **Updated:** Mar-20; **Applicability:** X

The *Applicant* ***MUST*** support all the scopes and claims defined in section 4.1.2 of the [TDIF.Attr].

#### *4.1.1.2 IdP OIDC Scopes and Claim Requests*

**TDIF Req:** OIDC-04-01-03; **Updated:** Mar-20; **Applicability:** I, X

The *Applicant* ***MUST*** support all the scopes and claims defined in section 4.1.3 of the [TDIF.Attr].

### 4.1.2 Individual Claim Requests

Individual claim requests are made in accordance with section 5.5 of the **[OpenIDCore]**.

**TDIF Req:** OIDC-04-01-04; **Updated:** Jan-19; **Applicability:** I, X

The *Applicant* ***MUST*** support individual claim requests for `tdif_doc` and individual claim requests for a specific document type using the standard OIDC members in the JSON object that requests the claim as specified in Table 1.

An example of a request for single document type:

```
"tdif_doc": {"type_code": {"value": "urn: id.gov.au.tdif:doc:type_code:MD"}}
```

An example for a request for multiple document types:

```
"tdif_doc": {"type_code": {"values": ["urn:id.gov.au.tdif:doc:type_code:BC",  
"urn:id.gov.au.tdif:doc:type_code:IM", "urn:id.gov.au.tdif:doc:type_code:CC",  
"urn:id.gov.au.tdif:doc:type_code:VI"]}}
```

**Table 1** Individual claim requests for specific document types.

JSON Object Member	Value	Matching rule
value	A <code>type_code</code> URN	This requests the <code>tdif_doc</code> claim is returned for all verified documents that match the value of the <code>document_type_code</code>
values	A set of <code>type_code</code> URNs	This requests the <code>tdif_doc</code> claim is returned for all verified documents that match any of the <code>document_type_code</code> values.

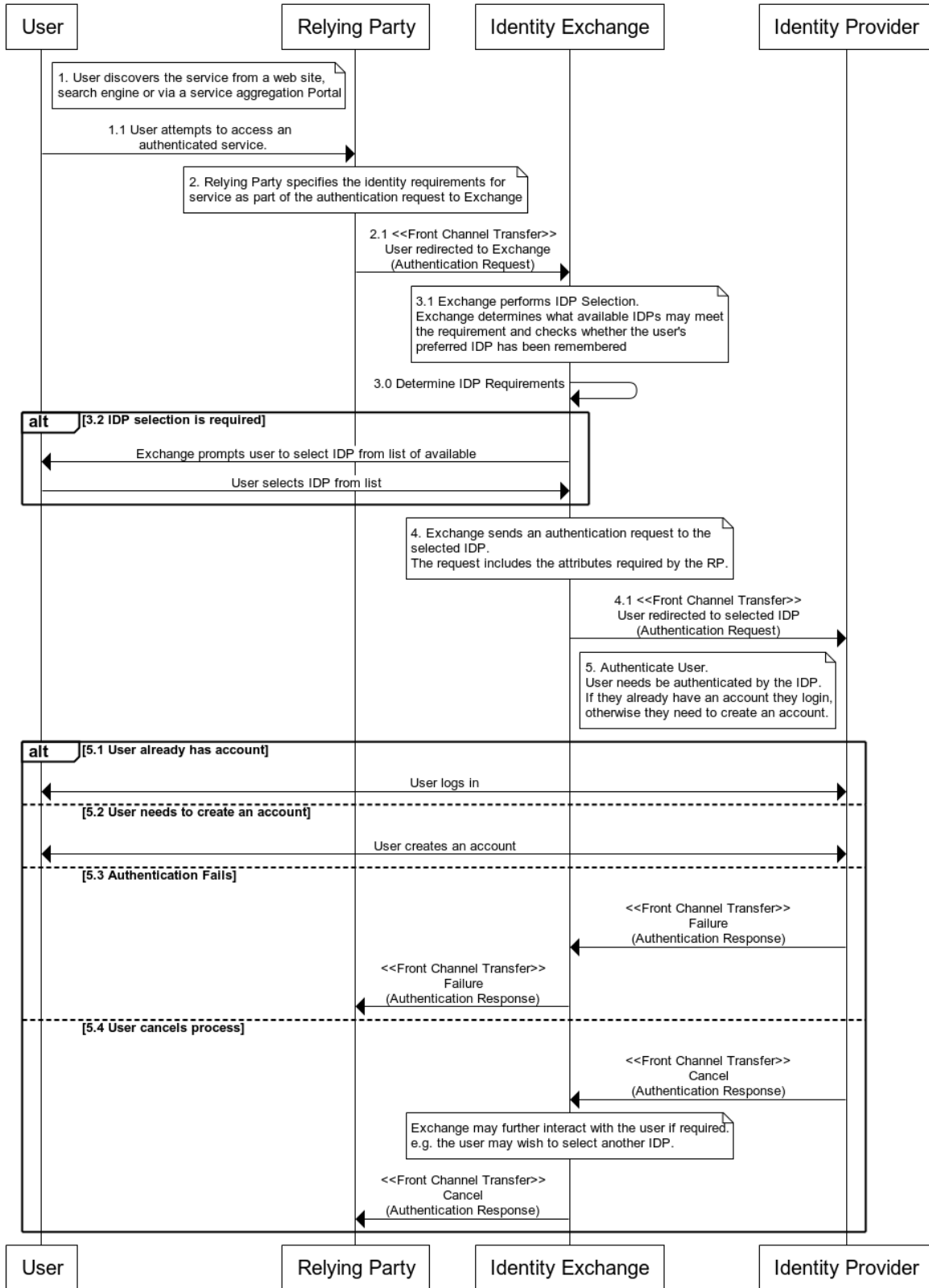
## 5 Acknowledgements

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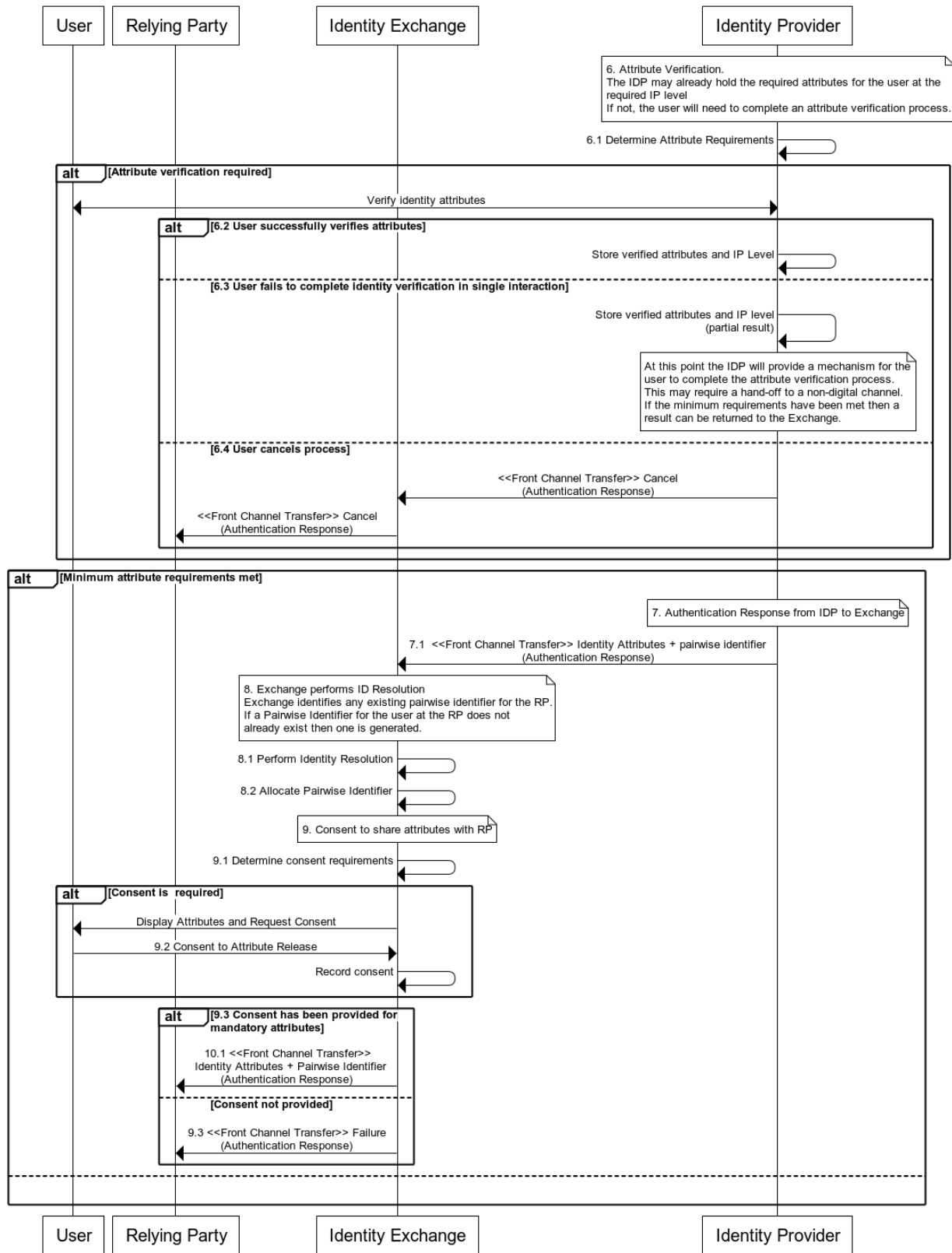


# A.1 Appendix A Interactions

**Figure 1 – User Authentication Sequence Diagrams (steps 1 to 5)**



**Figure 2: User Authentication Sequence Diagrams (steps 6 to 11).**



**Figure 1** and **Figure 2** are sequence diagrams that show the sequence of logical interactions for the authentication of a user. These interactions are intended to illustrate the application of the OIDC profiles described in this document to an end-to-

end user experience. Where the user is transferred between entities via the user agent, e.g. web browser, the interaction is annotated with the <<Front Channel Transfer>> label. Each step in the diagram is described in detail below.

## 1. User discovers the digital service.

### 1.1. User attempts to access an authenticated digital service.

- The user discovers the digital service at a *Relying Party*. This can be from content on an unauthenticated web site, a search engine, or from within a service aggregation portal.
- The user accessing the service triggers the authentication process and verification of identity attributes may occur as part of this authentication process.
- A user could initiate the attribute verification process independently of accessing a service by going directly to an Identity Provider.

## 2. Authentication Request from *Relying Party* to Exchange.

### 2.1. User redirected to Exchange by the *Relying Party* using an authentication request.

- The *Relying Party* specifies the identity requirements for the digital service as part of the authentication request. The request includes the required TDIF Assurance Levels and required identity attributes.
- The *Relying Party* specifies the minimum assurance level that is required. The minimum assurance level may be specified as mandatory. If the specified minimum IP level is mandatory it must be reached for a successful authentication response to be returned to the *Relying Party*.
- The identity attributes are specified as optional or mandatory. If a mandatory attribute cannot be returned (not available or consent not provided) then the authentication response will be a failure.<sup>1</sup>

Step 2 uses the *Relying Party to Identity Exchange Profile*:

- An OIDC Authentication Request from *Relying Party* is sent to the authorization endpoint at the *Identity Exchange*. The Request includes:

---

<sup>1</sup> The *TDIF: 06D - Attribute Profile* does not currently specify any attributes that may be requested as Mandatory by a *Relying Party*. In general, an authentication response should always be returned to the *Relying Party* as per the point above. This is consistent with the requests for claims in the *OIDC* standard, see [https://openid.net/specs/openid-connect-core-1\\_0.html](https://openid.net/specs/openid-connect-core-1_0.html) section 5.5.1.

- scopes that specify required identity attributes.
- *ACR* values that specify the required assurance levels.

### 3. Identity Provider Selection.

3.1. The *Identity Exchange* determines the Identity Providers that will meet the requirements of the Authentication Request from the *Relying Party*. The *Identity Exchange* will determine what Identity Providers are available to meet the request. It will also check when a preferred Identity Provider for the user has been remembered.

3.2. If more than one Identity Provider is available then the user will be prompted to select an Identity Provider from a list. This selection may be remembered to streamline further interactions.

3.3. User Cancels Process. An Authentication Response indicating the cancellation of the process is sent back to the *Relying Party*.

Step 3 uses the *Relying Party* to *Identity Exchange* Profile:

- User Cancels Process: *Identity Exchange* responds with error code value `authentication_cancelled`.

### 4. Authentication Request from *Identity Exchange* to Identity Provider.

4.1. Exchange redirects the user to the selected Identity Provider using an authentication request. The request includes the attributes and assurance levels that were originally requested by the *Relying Party*.

Step 4 uses the Identity Exchange to Identity Service Provider Profile.

- An OIDC Authentication Request is sent to authorization endpoint at the Identity Provider. The request includes:
    - The scopes that are required to service the request *Relying Party* request.
    - The set of *ACR* values that meet or exceed the *ACR* requested by the *Relying Party*.
5. Authenticate User. The user will either login to an existing account at the Identity Provider or create a new one.
- 5.1. User already has an account at the Identity Provider.
- The user logs into the Identity Provider using their existing credentials. If the existing credentials do not meet the required credential level the user will need to enrol additional credentials.
- 5.2. User does not have an account at the Identity Provider.
- The user creates an account and is issued with credentials at the required credential level.
- 5.3. Authentication Fails.
- If the user fails to authenticate at the required credential level then an Authentication Response indicating the authentication failure is sent back to the *Identity Exchange*. The *Identity Exchange* then sends the same Authentication Response back to the *Relying Party*.
- 5.4. User Cancels Process.
- An Authentication Response indicating the cancellation of the process is sent back to the *Identity Exchange*. The *Identity Exchange* may interact with the user to determine if an alternate pathway is required to complete the process, e.g. to select a different Identity Provider. The *Identity Exchange* then sends the same Authentication Response back to the *Relying Party* if there is no identified alternate pathway.

Step 5 uses the Identity Exchange to Identity Service Provider Profile.

- Authentication Fails: IDP responds with error code `access_denied`.
- User Cancels Process: IDP responds with error code value `authentication_cancelled`.

Step 5 then continues using the *Relying Party to Identity Exchange* Profile.

- Authentication Fails: *Identity Exchange* responds with error code `access_denied`.
- User Cancels Process: *Identity Exchange* responds with error code value `authentication_cancelled`.

6. Verify Attributes. The Identity Provider may already hold the attributes at the required IP level for the user. If not, an interaction with user is required to verify attributes at the required level.

6.1. Identity Provider determines attribute requirements.

- The Identity Provider checks the attributes already held for the user and determine if any further attribute verification is required. If attribute verification is required then steps 6.2 to 6.4 are possible paths.

6.2. User successfully verifies attributes.

- The user is able to successfully verify attributes at the required level.

6.3. The user is unable to complete the attribute verification process to the desired IP level in a single digital interaction.

- The Identity Provider will store the partial result and provide a process for the user to complete the attribute verification. This may require a hand-off to a non-digital channel. If the *Relying Party* originally specified a minimum IP level that has been met then a response can be returned to the *Relying Party*, otherwise this sequence of interactions end here.

#### 6.4. User Cancels Process.

- An Authentication Response indicating the cancellation of the process is sent back to the Exchange. The *Identity Exchange* then sends the same Authentication Response back to the *Relying Party* if there is no identified alternate pathway.

Step 6 uses the Identity Exchange to Identity Service Provider Profile.

- User Cancels Process: IDP responds with error code authentication\_cancelled.
- Authentication Response to Exchange: If the minimum attribute requirements are met then a successful authentication response is sent back to the Exchange.

#### 7. Authentication Response is sent back to the *Identity Exchange*.

7.1. The Authentication Response from the Identity Provider includes:

- Achieved ACR level.
- A pairwise identifier for the user at the Identity Provider.
- Identity attributes.

Step 7 uses the Identity Exchange to Identity Service Provider Profile.

- An authorisation code is returned to the *Identity Exchange* client via a front-channel redirect. The *Identity Exchange* client then sends a token request including authorization code sent to the Identity Provider's Token Endpoint via a back-channel web api call. The *Identity Exchange* client is authenticated to the Identity Provider's Token Endpoint using a JWT signed with the *Identity Exchange*'s private key. The response to the token request includes an ID Token (signed JWT) containing identity attributes, and an Access Token.
- The *Identity Exchange* verifies the ID Token using the public key for the Identity Provider. The *Identity Exchange* may use the Access Token to retrieve additional attributes from the Identity Provider's UserInfo Endpoint if required.

#### 8. Exchange performs Identity Resolution.

- *Identity Exchange* identifies any existing pairwise identifier user at the *Relying Party*. If a pairwise Identifier for the user at the *Relying Party* does not already exist then one is generated.

##### 8.1. Perform Identity Resolution.

- If a pairwise identifier is already mapped to the pairwise identifier from the Identity Provider then the *Identity Exchange* will use the pairwise identifier that is already allocated for the user.

## 8.2. Allocate Pairwise Identifier.

- If required, a pairwise identifier is generated for the user. A pairwise identifier is an anonymous, unique identifier for the user at the *Relying Party*.

## 9. Consent to share attributes.

### 9.1. Determine consent requirements.

- *Identity Exchange* determines the user consent requirements for the attributes requested by the *Relying Party*. It will include checking for any ongoing consent for sharing the attributes with the *Relying Party*.

### 9.2. Consent to Attribute Release.

- If user consent is required, the *Identity Exchange* must ensure that user consent has been the provided to the release the attributes to the *Relying Party*. The *Identity Exchange* may need to initiate an interaction with the user to gather the required consent. The *Identity Exchange* will record the provided consent and the user's preference for remembering this consent.

### 9.3. Consent not provided.

- If user consent is not provided then these attributes are not returned in the authentication response to the *Relying Party*.
- If user consent is not provided for any mandatory attribute then a failure Authentication Response is returned to the *Relying Party*.

Step 9.3 uses the *Relying Party* to *Identity Exchange* Profile:

- Consent not provided (mandatory attribute): Exchange responds with error code `access_denied`.

## 10. Authentication Response to *Relying Party*.

### 10.1. Authentication Response is sent back to the *Relying Party*.

- The Response includes:



- Achieved *ACR* level.
- Pairwise identifier for user at the *Relying Party*.
- Identity attributes for which consent has been provided.

Step 10 uses the *Relying Party* to *Identity Exchange* Profile.

- An authorisation code is returned to the *Relying Party* client via a front-channel redirect. The *Relying Party* client then sends a token request including the authorization code sent to *Identity Exchange*'s Token Endpoint via a back-channel web api call. The *Relying Party* client is authenticated to the *Identity Exchange* Token Endpoint using a JWT signed with the *Relying Party*'s private key. The response to the token request includes an ID Token (signed JWT) containing identity attributes, and an Access Token.
- The *Relying Party* verifies the ID Token using the public key for the *Identity Exchange*. The *Relying Party* may use the Access Token to retrieve additional attributes from the *Identity Exchange*'s UserInfo Endpoint if required.

11. User accesses digital service.

11.1. *Relying Party* uses the identity attributes to enable the user to access the digital service.

- The first time the user accesses a *Relying Party*, the *Relying Party* may need to determine if there is an existing customer record by using the identity attributes as part of an Identity Matching process. Where a *Relying Party* performs Identity Matching, the *Relying Party* is responsible for ensuring that the matching process is sufficient to manage risks of authorised access to a person's record and is accountable for any privacy breach that may occur as a result of improper matching. Once a customer record has been located or created at the *Relying Party* the Pairwise identifier is stored by the *Relying Party*, subsequent interaction by the user with the digital service will simply use the pairwise identifier to locate the customer record.
- Note: some transactions may be one-off and not require the above process.

## A.2 Appendix B iGov Profile Comparison

This appendix summarises the key differences and similarities between the iGov Profile and the *TDIF: Open ID Connect 1.0 Profile*.

This profile is interoperable with the iGov profile and can be considered a subset of the iGov profile in that it does not mandate all the features currently specified in the iGov profile. The key differences between the iGov Profile and this profile are:

- This profile supports a brokered identity federation that implements a double-blind federation.
- This profile uses the attributes and assurances levels that are specifically required to support the TDIF.

### A.2.1 *Relying Party* to Exchange OIDC Profile

#### A.2.1.1 *Overview*

In this OIDC profile, an Exchange is an Open ID Provider (*OP*), a *Relying Party* is a *Relying Party (RP)*.

#### A.2.1.1.1 *Grant Types*

As per iGov Profile, the *RP* must use the `authorization_code` grant type.

#### A.2.1.1.2 *Client Types*

As per iGov Profile:

- Full Client with User Delegation as defined by the iGov OAuth 2 profile.
- Native Client with User Delegation as defined by the iGov OAuth 2 profile.

#### A.2.1.2 *Relying Party Profile*

##### A.2.1.2.1 *Requests to the Authorisation Endpoint*

As per iGov Profile, with the following exceptions:

- The `vt_r` request parameter is not used.
- No mandatory value for the prompt request parameter is specified. The iGov specification mandates the value `select_account` be used.

A *Relying Party* may specify a required LoA using the mechanism described in section 2.8.3 of this profile.

#### *A.2.1.2.2 Requests to the Token Endpoint*

As per iGov Profile:

- Requests to the token endpoint require client authentication.
- The client authentication mechanism is JWT signed using the client's private key.

#### *A.2.1.2.3 ID Tokens*

As per iGov Profile.

- The client must verify the signature on the ID Token using the public key of the *Identity Exchange*.

#### *A.2.1.3 OpenID Provider Profile (Identity Exchange)*

##### *A.2.1.3.1 Requests to the Authorisation Endpoint*

The Exchange **MUST** support ALL the mechanisms for requesting a LoA described in Levels of Assurance.

### *ID Tokens*

ID Tokens are as described in the iGov specification, with the following clarifications:

- ID Tokens are signed using the private key of the issuer, Exchange.
- `tot`, `vtm` claims are not used.

#### *A.2.1.3.2 UserInfo Endpoint*

Claims **MUST** be made available via the UserInfo endpoint as described in the *TDIF: Attribute Profile*. The UserInfo endpoint must only return claims that authorized in the authentication request that issued the access token that is being used to access the UserInfo endpoint.

#### *A.2.1.3.3 Vectors of Trust*

Vectors of Trust are not used.

#### *A.2.1.3.4 Authentication Context*

An Exchange **MUST** provide the `acr` claim in ID Tokens.

#### *A.2.1.3.5 Discovery*

As per iGov OIDC profile except that the `tot` claim is not used.

#### *A.2.1.3.6 Dynamic Registration*

Dynamic registration of clients **MAY** be supported by an Exchange.

#### *A.2.1.3.7 Pairwise Identifiers*

The TDIF profiles mandate the use of pairwise Subject Identifiers.

## A.2.2 Identity Exchange to IDP OIDC Profile

### A.2.2.1 Overview

In this OIDC profile, an IDP is an Open ID Provider (*OP*), an Exchange is a *Relying Party (RP)*.

#### A.2.2.1.1 Client Types

The only supported client type is a Full Client with User Delegation as defined by the iGov OAuth 2 profile.

#### A.2.2.1.2 Grant Types

As per iGov Profile. *RP* must use the `authorization_code` grant type.

### A.2.2.2 Relying Party Profile (Identity Exchange)

#### A.2.2.2.1 Requests to the Authorisation Endpoint

As per iGov Profile, with the following exceptions:

- The `vtr` request parameter is not used.
- No mandatory value for the `prompt` request parameter is specified. The iGov specification mandates the value `select_account` be used.

#### A.2.2.2.2 Requests to the Token Endpoint

As per iGov Profile.

- Requests to the token endpoint require client authentication.
- The client authentication mechanism is JWT signed using the client's private key.

#### A.2.2.2.3 ID Tokens

As per iGov Profile.

- The client must verify the signature on the ID Token using the public key of the Identity Provider.

### *A.2.2.3 OpenID Provider Profile (Identity Provider)*

#### *A.2.2.3.1 Requests to the Authorisation Endpoint*

The Exchange **MUST** support ALL the mechanisms for requesting a LoA described in Levels of Assurance.

#### *A.2.2.3.2 Authentication Error Responses*

- In addition to the standard OIDC authentication error responses, this profile also defines additional error codes in section 3.7.4.

#### *A.2.2.3.3 ID Tokens*

ID Tokens are as described in the iGov specification, with the following clarifications:

- ID Tokens are signed using the private key of the issuer, the Identity Provider.
- `vot`, `vtm` claims are not used.

#### *A.2.2.3.4 UserInfo Endpoint*

Claims **MUST** be made available via the UserInfo endpoint as described in the *TDIF: Attribute Profile*. The UserInfo endpoint must only return claims that authorized in the authentication request that issued the access token that is being used to access the UserInfo endpoint.

#### *A.2.2.3.5 Vectors of Trust*

Vectors of Trust are not used.

#### *A.2.2.3.6 Authentication Context*

An Identity Provider **MUST** provide the `acr` claim in ID Tokens.

#### *A.2.2.3.7 Discovery*

As per iGov OIDC profile except that the `vot` claim is not used.

#### *A.2.2.3.8 Dynamic Registration*

Dynamic registration of an *Identity Exchange* is not supported.

#### *A.2.2.3.9 Pairwise Identifiers*

The TDIF profiles mandate the use of pairwise Subject Identifiers.

## A.3 Appendix C – Worked Examples

The following examples show successful authentication interactions between a *Relying Party (RP)* and an Identity Provider (*IdP*) via an *Identity Exchange*. Examples are provided for the following types of applications:

- Web Application (with dedicated server-side component). The client is the web applications back-end that is a confidential client. This example illustrates the use of the OIDC authorisation code flow.
- Native Application. The client is a public client that is installed application. This example illustrates the use of PKCE in conjunction with OIDC authorisation code flow.

### A.3.1 Web Application Example

1. The *Relying Party* Client constructs the Authentication Request and sends it to the *Identity Exchange* Authorization Endpoint using HTTPS.

The Authentication Request includes the scope parameter to specify the required identity claims, and an *ACR* value to specify the required level of assurance.

The following is a non-normative example HTTP 302 redirect response by the Client, which triggers the User Agent to make an Authentication Request to the Authorization Endpoint (with line wraps within values for display purposes only):

```
HTTP/1.1 302 Found
Location: https://idexchange.gov.au/authorize?
  response_type=code
  &scope=openid%20profile%20email%20phone
  &client_id=s6bhdrkqt3
  &state=af0ifjsldkj
  &redirect_uri=https%3A%2F%2Fclient.example.org%2Fcb
  &acr_values=urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl2
```

The following is the non-normative example request that would be sent by the User Agent to the Authorization Server in response to the HTTP 302 redirect response by the Client above (with line wraps within values for display purposes only):

```
GET /authorize?
  response_type=code
  &scope=openid%20profile%20email%20phone
  &client_id=s6BhdRkqt3
  &state=af0ifjsldkj
```



```
&redirect_uri=https%3A%2F%2Fclient.example.org%2Fcb
&acr_values=urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl2 HTTP/1.1
Host: idexchange.gov.au
```

2. The *Identity Exchange* logs the request from the *Relying Party* validates and generates a unique audit id for the request (`tdif_audit_id`), all subsequent actions in the *Identity Exchange* are logged using this identifier. The *Identity Exchange* validates the Authentication Request from the *Relying Party*.
3. The *Identity Exchange* prompts the End-User to select an Identity Provider (account). The *Identity Exchange* may provide a mechanism to remember a previous Identity Provider selection made by the End-User.
4. The *Identity Exchange* constructs an Authentication Request and sends it to the Authorization Endpoint of End-User's selected Identity Provider using HTTPS. In this request, the *Identity Exchange* is now acting as a *Relying Party Client*.

The following is a non-normative example HTTP 302 redirect response by the Client, which triggers the User Agent to make an Authentication Request to the Authorization Endpoint (with line wraps within values for display purposes only):

```
HTTP/1.1 302 Found
Location: https://idp.gov.au/authorize?
  response_type=code
  &scope=openid%20tdif_core%20email%20phone
  &client_id=m6BhdRkqt9
  &state=xf5ifjlsldkj
  &redirect_uri=https%3A%2F%2Fidexchange.gov.au%2Fcb
  &acr_values=urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl2%20
urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl3%20
urn%3Aid.gov.au%3Atdif%3Aacr%3Aip4%3Acl3
```

The following is the non-normative example request that would be sent by the User Agent to the Authorization Server in response to the HTTP 302 redirect response by the Client above (with line wraps within values for display purposes only):

```
GET /authorize?
  response_type=code
  &scope=openid%20tdif_core%20email%20phone
  &client_id=m6BhdRkqt9
  &state=xf5ifjjsldkj
  &redirect_uri=https%3A%2F%2Fidexchange.gov.au%2Fcb
  &acr_values=urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl2%20
urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl3%20
urn%3Aid.gov.au%3Atdif%3Aacr%3Aip4%3Acl3 HTTP/1.1
Host: idp.gov.au
```

5. The Identity Provider validates the Authentication Request from the *Identity Exchange*.
6. The Identity Provider logs in the End-User or verifies whether the End-User is logged in, depending on the request parameters in the request. The Identity Provider may require additional interactions with the End-User in order to meet the level of assurance specified by the *ACR* value in the request.
7. The Authentication Response is returned to the *Identity Exchange* Client. The Authorization Server issues a `code` adding the following query parameters to the query component of the *Identity Exchange* Client's registered Redirection URI using the `application/x-www-form-urlencoded` format. For example (with line wraps within values for display purposes only):

```
HTTP/1.1 302 Found
Location: https://idexchange.gov.au/cb?
  code=Sp1xl0BeZQQYbYS6WxSbIA
  &state=xf5ifjjsldkj
```

8. The *Identity Exchange* validates the Authentication Response from the Identity Provider.
9. The *Identity Exchange* makes a Token Request to the Identity Provider to exchange the Authorization Code for an ID Token and Access Token. The *Identity Exchange* includes a signed JWT Bearer Token.

```
POST /token HTTP/1.1
Host: idp.gov.au
Content-Type: application/x-www-form-urlencoded

grant_type=authorization_code&
code=Sp1xl0BeZQQYbYS6WxSbIA&
redirect_uri=https%3A%2F%2Fidexchange.gov.au%2Fcb&
client_id=m6BhdRkqt9&
client_assertion_type=
urn%3Aietf%3Aparams%3Aoauth%3Aclient-assertion-type%3Ajwt-bearer&
client_assertion=PHNhbWxwOl ... ZT
```

10. The Identity Provider validates the Token Request from the *Identity Exchange*.

The Identity Provider authenticates the *Identity Exchange* Client by validating the signature on the JWT using the *Identity Exchange* Client's registered public key.

11. The Identity Provider return a successful Token Response to the *Identity*

*Exchange*. The response includes an ID token, Access Token, and may include a Refresh Token. The ID token is a JWT that is signed by the Identity Provider. The ID Token includes a unique `sub` identifier (a pairwise identifier) for the End-User at the Identity Provider, the requested claims (attributes), and the `ACR` (level of assurance) for the authentication.

For example (with line wraps within values for display purposes only):

```
HTTP/1.1 200 OK
Content-Type: application/json
Cache-Control: no-store
Pragma: no-cache

{
  "access_token": "SlAV32hkKG",
  "token_type": "Bearer",
  "refresh_token": "8xLOxBtZp8",
  "expires_in": 3600,
  "id token": "eyJhbGciOiJSUzI1NiIsImtpZCI6IjFlOWdkazcifQ.ewogImlzc
yI6ICJodHRwOi8vc2VydmVyLmV4YW1wbGUuY29tIiwKICJzdWIiOiAimjQ4Mjg5
NzYxMDAxIiwKICJhdWQiOiAic3ZCaGRSa3F0MyIsCiAibm9uY2UiOiAibi0wUzZ
fV3pBMk1qIiwKICJleHAiOiAxMzExMjg5OTcwLAogImldCI6IDEzMTEyODU5Nz
AKfQ.ggW8hZ1EuVLuxNuuIJKX_V8a_OMXzR0EHR9R6jgdqrOOF4daGU96Sr_P6q
Jp6IcmD3HP99Obi1PRs-cwh3L0-p146waJ8IhehcwL7F09JdijmBqkvPeB2T9CJ
NqeGpe-gccMg4vfKjkm8FcGvzZUN4_KSP0aAp1tOJ1zZwgjxqGByKHiOtX7Tpd
QyHE5lcMiKPXfEIQLVq0pc_E2DzL7emopWoaoZTF_m0_N0YzFC6g6EJbOEoRoS
K5hoDalrcvRyLSrQAZZKfIyVCyixEoV9GfNQC3_osjzw2PAithfubEEBLuVVk4
XUVrWOLrLl10nx7RkKU8NXNHq-rvKMzqg"
}
```

12. The *Identity Exchange* validates the Token Response from the Identity Provider.

13. The *Identity Exchange* validates the ID Token. The *Identity Exchanges* validates the signature on the ID Token using the public key for the Identity Provider.

14. The *Identity Exchange* extracts the subject identifier from the ID Token. The *Identity Exchange* determines if it already has a pairwise identifier for the subject for the *Relying Party* that initiated the Authentication Request in step 1. If a pairwise identifier does not exist, *Identity Exchange* creates a pairwise identifier for the subject for the *Relying Party*.

15. The *Identity Exchange* extract the claims from the ID Token. The *Identity Exchange* gets consent from the End-User to share attributes with the Relying Part in accordance for the policy requirements for these attributes.
16. The Authentication Response is returned to the Relying Client. The Authorization Server issues a `code` adding the following query parameters to the query component of the *Identity Exchange* Client's registered Redirection URI using the `application/x-www-form-urlencoded` format. For example (with line wraps within values for display purposes only):

```
HTTP/1.1 302 Found
Location: https://client.example.org/cb?
  code=Sp1xl0BeZQQYbYS6WxSbIA
  &state=af0ifjlsldkj
```

17. The *Relying Party* validates the Authentication Response from the *Identity Exchange*.
18. The *Relying Party* makes a Token Request to the *Identity Exchange* to exchange the Authorization Code for an ID Token and Access Token. The *Relying Party* includes a signed JWT Bearer Token.

```
POST /token HTTP/1.1
Host: idexchange.gov.au
Content-Type: application/x-www-form-urlencoded

grant_type=authorization_code&
code=Sp1xl0BeZQQYbYS6WxSbIA&
redirect_uri=https%3A%2F%2Fclient.example.org%2Fcb&
client_id=s6BhdRkqt3&
client_assertion_type=
urn%3Aietf%3Aparams%3Aoauth%3Aclient-assertion-type%3Ajwt-bearer&
client_assertion=PHNhbWxwOl ... ZT
```

19. The *Identity Exchange* returns a successful Token Response to the *Relying Party*. The response includes an ID token, Access Token, and may include a Refresh Token. The ID token is a JWT that is signed by the *Identity Exchange*. The ID Token includes a unique `sub` identifier (a pairwise identifier) for the End-User at the *Relying Party*, the requested claims (attributes), and the requested `ACR` (level of assurance) for the authentication.

For example (with line wraps within values for display purposes only):



### A.3.2 Native Application Example

1. The *Relying Party* Client initialises the authentication process by generating a PKCE code verifier and code challenge. The code verifier is a 32 Byte high entropy cryptographic random string. The code challenge is a SHA256 hash of the code verifier.

For example:

```
code_verifier=LuHyDyxbDiGJsZVsoPdlyPnUV1dhI7jSXL4BcMjt98g
code_challenge=gv00e2Mnroq78ABp085BsstZY0IH17I1hlQvsXA5pnw
```

2. The *Relying Party* Client constructs the Authentication Request and sends it to the *Identity Exchange* Authorization Endpoint using HTTPS.

The Authentication Request includes the `scope` parameter to specify the required identity claims, and an `ACR` value to specify the required level of assurance. The request also includes the `code_challenge` generated in step 1, and the `code_challenge_method` which will always be `S256` (SHA256).

The following is the non-normative example request that would be sent by the User Agent to the Authorization Server (with line wraps within values for display purposes only). The native application should use the system browser for the platform that it is installed on.

```
GET /authorize?
  response_type=code
  &scope=openid%20profile%20email%20phone
  &client_id=s6BhdRkqt3
  &state=af0ifjlsldkj
  &code_challenge=gv00e2Mnroq78ABp085BsstZY0IH17I1hlQvsXA5pnw
  &code_challenge_method=S256
  &redirect_uri=https%3A%2F%2Fclient.example.org%2Fcb HTTP/1.1
Host: idexchange.gov.au
```

3. The *Identity Exchange* logs the request from the *Relying Party* validates and generates a unique audit id for the request (`tdif_audit_id`), all subsequent actions in the *Identity Exchange* are logged using this identifier. The *Identity Exchange* validates the Authentication Request from the *Relying Party* and stores the code challenge locally.
4. The *Identity Exchange* prompts the End-User to select an Identity Provider (account). The *Identity Exchange* may provide a mechanism to remember a previous Identity Provider selection made by the End-User.

5. The *Identity Exchange* constructs an Authentication Request and sends it to the Authorization Endpoint of End-User's selected Identity Provider using HTTPS. In this request, the *Identity Exchange* is now acting as a *Relying Party Client*.

The following is a non-normative example HTTP 302 redirect response by the Client, which triggers the User Agent to make an Authentication Request to the Authorization Endpoint (with line wraps within values for display purposes only):

```
HTTP/1.1 302 Found
Location: https://idp.gov.au/authorize?
  response_type=code
  &scope=openid%20tdif_core%20email%20phone
  &client_id=m6BhdRkqt9
  &state=af0ifjsldkj
  &redirect_uri=https%3A%2F%2Fidexchange.gov.au%2Fcb
  &acr_values=urn%3Aidp.gov.au%3Atdif%3Aacr%3Aip3%3Acl2%20
urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl3%20
urn%3Aid.gov.au%3Atdif%3Aacr%3Aip4%3Acl3
```

The following is the non-normative example request that would be sent by the User Agent to the Authorization Server in response to the HTTP 302 redirect response by the Client above (with line wraps within values for display purposes only):

```
GET /authorize?
  response_type=code
  &scope=openid%20tdif_core%20email%20phone
  &client_id=m6BhdRkqt9
  &state=af0ifjsldkj
  &redirect_uri=https%3A%2F%2Fidexchange.gov.au%2Fcb
  &acr_values=urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl2%20
urn%3Aid.gov.au%3Atdif%3Aacr%3Aip3%3Acl3%20
urn%3Aid.gov.au%3Atdif%3Aacr%3Aip4%3Acl3 HTTP/1.1
Host: idp.gov.au
```

6. The Identity Provider validates the Authentication Request from the *Identity Exchange*.
7. The Identity Provider logs in the End-User or verifies whether the End-User is logged in, depending on the request parameters in the request. The Identity Provider may require additional interactions with the End-User in order to meet the level of assurance specified by the *ACR* value in the request.

8. The Authentication Response is returned to the *Identity Exchange* Client. The Authorization Server issues a code adding the following query parameters to the query component of the *Identity Exchange* Client's registered Redirection URI using the `application/x-www-form-urlencoded` format. For example (with line wraps within values for display purposes only):

```
HTTP/1.1 302 Found
Location: https://idexchange.gov.au/cb?
  code=Sp1xl0BeZQQYbYS6WxSbIA
  &state=af0ifjslkdj
```

9. The *Identity Exchange* validates the Authentication Response from the Identity Provider.
10. The *Identity Exchange* makes a Token Request to the Identity Provider to exchange the Authorization Code for an ID Token and Access Token. The *Identity Exchange* includes a signed JWT Bearer Token.

```
POST /token HTTP/1.1
Host: idp.gov.au
Content-Type: application/x-www-form-urlencoded

grant_type=authorization_code&
code=Sp1xl0BeZQQYbYS6WxSbIA&
redirect_uri=https%3A%2F%2Fidexchange.gov.au%2Fcb&
client_id=m6BhdRkqt9&
client_assertion_type=
urn%3Aietf%3Aparams%3Aoauth%3Aclient-assertion-type%3Ajwt-bearer&
client_assertion=PHNhbWxwOl ... ZT
```

11. The Identity Provider validates the Token Request from the *Identity Exchange*. The Identity Provider authenticates the *Identity Exchange* Client by validating the signature on the JWT using the *Identity Exchange* Client's registered public key.
12. The Identity Provider return a successful Token Response to the *Identity Exchange*. The response includes an ID token, Access Token, and may include a Refresh Token. The ID token is a JWT that is signed by the Identity Provider. The ID Token includes a unique `sub` identifier (a pairwise identifier) for the End-User at the Identity Provider, the requested claims (attributes), and the `ACR` (level of assurance) for the authentication.





```
HTTP/1.1 302 Found
Location: https://client.example.org/cb?
code=Sp1xl0BeZQQYbYS6WxSbIA
&state=af0ifjsldkj
```

17. The *Relying Party* validates the Authentication Response from the *Identity Exchange*.

18. The *Relying Party* makes a Token Request to the *Identity Exchange* to exchange the Authorization Code for an ID Token and Access Token. The *Relying Party* includes the code verifier generated in step 1 in the request.

```
POST /token HTTP/1.1
Host: idexchange.gov.au
Content-Type: application/x-www-form-urlencoded

code_verifier=LuHyDyxbDiGJsZVsoPdlyPnUV1dhI7jSXL4BcMjt98g&
client_id=s6BhdRkqt3&
grant_type=authorization_code&
code=Sp1xl0BeZQQYbYS6WxSbIA&
redirect_uri=https%3A%2F%2Fclient.example.org%2Fcb
```

19. The *Identity Exchange* validates the code verifier against the code challenge it received earlier as part of the authentication request (step 3), and if successful returns a successful Token Response to the *Relying Party*. The response includes an ID token, Access Token, and may include a Refresh Token. The ID token is a JWT that is signed by the *Identity Exchange*. The ID Token includes a unique sub identifier (a pairwise identifier) for the End-User at the *Relying Party*, the requested claims (attributes), the *ACR* (level of assurance) for the authentication and the *tdif\_audit\_id*.

